Breaking down the tropospheric circulation response by forcing

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Abstract This study describes simulated changes in the general circulation during the twentieth and twenty-first centuries due to a number of individual direct radiative forcings and warming sea surface temperatures, by examining very long time-slice simulations created with an enhanced version of the Geophysical Fluid Dynamics Laboratories Atmospheric Model AM 2.1. We examine the effects of changing stratospheric ozone, greenhouse gas concentrations, and sea surface temperatures individually and in combination over both hemispheres. Data reveal robust poleward shifts in zonal mean circulation features in present-day simulations compared to a pre-industrial control, and in future simulations compared to present-day. We document the seasonality and significance of these shifts, and find that the combined response is well approximated by the sum of the individual responses. Our results suggest that warming sea surface temperatures are the main driver of circulation change over both hemispheres, and we

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IGES/COLA, Center for Ocean-Land-Atmosphere Studies, 4041 Powder Mill Road, Suite 302, Calverton, MD 20705-3106, USA e-mail: jianlu@cola.iges.org project that the southern hemisphere jet will continue to shift poleward, albeit more slowly during the summer due to expected ozone recovery in the stratosphere.

Keywords Global climate modeling · General circulation · Stratosphere/troposphere interactions

Abbreviations

20C3M	Twentieth century AR4 simulation
AM	Annular mode
AM2.1	Atmospheric Model v. 2.1
AR4	Fourth assessment
CAM3	Community Atmosphere Model version 3
CCM	Coupled chemistry climate models
CM2.1	Climate model version 2.1
CMAM	Canadian Middle Atmosphere Model
CMIP3	Coupled Model Intercomparison Project
DJF	December, January, and February
DP09	Deser and Phillips (2009)
ERA-40	European Centre for Medium-Range Weather
	Forecasts 40 Year Re-analysis Project
GFDL	Geophysical Fluid Dynamics Laboratory
IPCC	Intergovernmental Panel on Climate Change
ITCZ	Intertropical convergence zone
JJA	June, July, and August
K11	Kang et al. (2011)
NAM	Northern annular mode
NCAR	National Center for Atmospheric Research
NH	Northern hemisphere
P11a	Polvani et al. (2011a)
P11b	Polvani et al. (2011b)
P–E	Precipitation-evaporation
SAM	Southern annular mode
SH	Southern hemisphere
SST	Sea surface temperature

1 Introduction

The observed global mean surface temperature increase during the last century is a symptom of a more fundamental change in the earth climate system: the changing distribution of radiative heating and cooling, and the resulting shifts in the general circulation of the atmosphere. One theme in past studies has been the poleward expansion of important circulation features. These circulation shifts, in concert with the changes in atmospheric thermodynamical structure, are of particular concern because they have the potential to produce profound changes in surface climate and precipitation patterns.

Observations and simulations depict a poleward shift in many global circulation patterns during the past century. Estimates of tropical widening, beginning with Rosenlof (2002), range from 0.3° to 3.1° latitude per decade, depending on the season, hemisphere, and dataset (Seidel et al. 2008; Reichler 2009; Hu et al. 2011). Understanding the cause of these changes has proven difficult, as model simulations seem to underestimate observed tropical widening trends. The cause of this discrepancy is an outstanding issue (Johanson and Fu 2009).

Studies have also shown a poleward shift in extratropical circulation features in both hemispheres, broadly consistent with a shift towards more positive annular modes (hereafter AMs; Kushner et al. 2001). As with tropical width, general circulation models seem to underestimate the observed change in the northern annular mode (NAM) index (Miller et al. 2006).

Models quite accurately reproduce observed changes in the southern annular mode (SAM), with widening being attributed to ozone depletion and, to a lesser degree, greenhouse gas emissions (Thompson and Solomon 2002; Gillett and Thompson 2003; Shindell and Schmidt 2004; Arblaster and Meehl 2006; Miller et al. 2006; Perlwitz et al. 2008; Fogt et al. 2009). Comparing coupled chemistry climate models (CCMs) with simulations from the third phase of the Coupled Model Intercomparison Project (CMIP3), Son et al. (2008, 2009a, b, 2010) found that future ozone recovery may cancel out or even reverse the poleward tendency of the midlatitude jet due to greenhouse gas increases and sea surface temperature (SST) warming. These studies include many different models of varying quality, which may make it difficult to cleanly separate the effects of individual forcings from the effects of model differences.

To alleviate this difficulty, Deser and Phillips (2009; hereafter referred to as DP09) examined ten-member ensembles of 1950–2000 transient climate simulations using the National Center for Atmospheric Research (NCAR) Community Atmosphere Model version 3 (CAM3) to compare the direct effects of radiative forcings and the indirect effect of changing SSTs on the general circulation. Their study emphasized the role that direct radiative forcings played in shifting extratropical precipitation patterns over the past several decades, but did not distinguish between the effects of greenhouse gases or ozone depletion. In addition, DP09 prescribed observed SSTs, introducing inconsistencies and sensitivity to natural slow oscillations in SSTs (e.g. the Pacific decadal oscillation and El Niño Southern Oscillation).

In order to analyze the effects of ozone depletion, Polvani et al. (2011a; hereafter P11a) also examined CAM3 time-slice simulations. P11a emphasized the role of ozone depletion during southern hemisphere (SH) summer (DJF), predicting an equatorward shift of the midlatitude jet during the twenty-first century due to the expected recovery of stratospheric ozone. They also noted an approximate linearity of the climate response to ozone and greenhouse gas forcings. However, P11a investigated 50-year time-slice simulations; longer simulations would be desirable for filtering natural climate variability. In addition, P11a did not separate the direct radiative effects of greenhouse gases from the indirect effect of SSTs.

Kang et al. (2011; hereafter K11) analyzed time-slice simulations from both CAM3 and the Canadian Middle Atmosphere Model (CMAM), and also showed a strong SH extratropical precipitation shift during DJF due to ozone depletion. K11 improved on previous studies by performing a coupled simulation, as well as uncoupled simulations utilizing both observed SSTs and SSTs from the coupled simulation. However, they did not vary greenhouse gas concentrations, and thus could not separate the effects of greenhouse gases from the effects of ozone depletion or changing SSTs.

Perlwitz et al. (2008) and McLandress et al. (2011) likewise examined CCM data and reiterated the importance of ozone depletion in twentieth century climate change over the SH. These studies predicted that the SH tropospheric circulation response to ozone recovery and increased greenhouse gases will roughly cancel each other out during DJF. However, Perlwitz et al. (2008) used SSTs from observations or other models, while McLandress et al. (2011) exclusively performed coupled simulations. The former may thus have SSTs that are inconsistent with the model, while the latter cannot explicitly separate the direct radiative effects of the different forcings from the indirect effects of the resulting SST changes.

The present study is concerned with understanding how ozone depletion and recovery, greenhouse gas increases, and SST changes each contribute to shifts observed during the twentieth century and to shifts expected during the twenty-first century. The outcome of this work may help to shed light on why models often underestimate tropical and northern hemisphere (NH) circulation shifts, as quantifying the response to individual forcings is a step towards understanding the overall response. It will also aid in better understanding the mechanisms behind such change by isolating interfering, and at times competing, effects.

Unlike the studies described above, we examine each forcing separately and in combination, using 500-year-long time-slice simulations produced by an enhanced version of the Geophysical Fluid Dynamics Laboratory (GFDL) Atmospheric Model v. 2.1 (AM2.1; Anderson et al. 2004). We specify pre-industrial, present day, and future ozone levels, greenhouse gas concentrations, and SSTs. Also in contrast to most of the studies described above (K11 being the only exception), we take decadally averaged SSTs from a companion coupled model—not from observations—in order to filter out interannual SST variability and to apply model-consistent SST patterns.

Section 2 documents our experimental setup and methods. Section 3 details the circulation response to various forcings by hemisphere and season. Section 4 contains a brief summary, and discusses the implications of our results in light of recent literature.

2 Data and methods

Here we describe the model setup used in this study. We then detail the prescribed forcings to be investigated. As we are mainly interested in zonal mean circulation changes, we finish this section by defining the circulation indicators used in this study.

Following Fletcher et al. (2009), we use an enhanced version of AM2.1, with 48 levels in the vertical, rather than the standard 24. This is the same model as that used in Austin et al. (2007), but without interactive chemistry.

Most of the additional layers are above 100 hPa, producing a well-resolved upper troposphere and lower stratosphere. Horizontal grid resolution is approximately 2° latitude by 2.5° longitude.

We prescribe well-mixed greenhouse gases at their historical, present-day, and projected levels, with projected levels of CO₂, CH₄, and N₂O taken from the Intergovernmental Panel on Climate Change (IPCC) A1B scenario. We take zonal mean ozone data from the Randel and Wu (2007) dataset, with monthly mean values taken from pre-1980 years for the pre-industrial and future states, and from the year 2000 for the present-day, depleted ozone state (see Fig. 1a). The Randel and Wu (2007) dataset draws from similar data as the SPARC ozone database (Cionni et al. 2011), which is used in several of the above-mentioned studies.

The ozone depletion prescribed in our study is dominated by the SH ozone hole, with the strongest depletion in September–October–November. The NH also experiences spring-time depletion, albeit much weaker. In the tropics we see some year-round increase. We refer the reader to Randel and Wu (2007) for a more complete description of the changes in this dataset.

We derive SSTs from the fully coupled Climate Model v2.1 (CM2.1; Delworth et al. 2006), the coupled companion model to AM2.1. Prescribing SSTs from the coupled model ensures that SSTs are mostly consistent with the circulation of the AM2.1 model. In order to reduce the effects of natural SST variability, such as the El Niño Southern Oscillation, we calculate multi-year average SSTs for each month. Pre-industrial SSTs are taken from 10 years of data from the pre-industrial control run (PIcntrl) prepared for the IPCC fourth assessment report (AR4). Present-day and future SSTs are derived from the twentieth century (20C3M) and A1B future scenarios, both also



Fig. 1 Zonal mean a column-integrated ozone (in Dobson units) and b, c sea surface temperature forcings (in °C; negative contours *dashed*) used in this study, by season and latitude. *Black solid* and *dashed* contours show control climatology, while *shading* shows the change between the time periods shown

performed for the IPCC AR4, with present-day SSTs being averaged over 1997–2006, year-2050 SSTs being averaged over 2045–2055, and year-2100 SSTs being averaged over 2081–2100.

The changes in SSTs shown in Fig. 1 include the temperature of regions formerly covered with sea ice. From 1870 to 2000 (Fig. 1b), and from 2000 to 2050 (Fig. 1c), much of this sea ice melts, increasing the temperature in the associated grid cells, and creating the impression of strong SST increases. This impression is valid, as this melting leads to strong, anomalous surface heat flux, and may play an important part in the well known poleward amplification of warming. We also note differences in the structure and seasonality of present day and future SST changes. These differences in turn affect our projections of future climate. The SST changes from 2000 to 2100 (not shown) closely resemble the changes from 2000 to 2050, only stronger.

Using prescribed SSTs has the important benefit of allowing us to distinguish between the direct effect of radiative forcing due to greenhouse gas increases and ozone depletion, and the indirect effect (see DP09) of the SST response to the combined forcings. What we term the direct effect is the atmospheric temperature and circulation response to radiative forcings within the atmosphere itself, without allowing SSTs to respond. The indirect effect is the response of the atmosphere to just the changing SSTs, which are themselves a response to all the known forcings in a coupled model. This approach is useful for studying the mechanisms behind circulation shifts, as a given radiative forcing may cause a circulation shift via one set of mechanisms, while the SST response to that forcing may alter the general circulation via some other mechanisms. Thus the circulation change produced when both the radiative forcings and SST responses are included will be formed through a combination of mechanisms.

As aerosols in AM2.1 act largely by cooling the ocean surface (Ming and Ramaswamy 2009), the direct radiative effect of aerosols in AM2, while holding SSTs at their unperturbed state (not shown in this study) is quite small. For this reason, we prescribe aerosols at their pre-industrial levels for all our simulations. This illustrates one drawback to using prescribed, rather than coupled, SSTs. While we can examine separately the radiative effects of ozone and greenhouse gases, we cannot prescribe the SST response to just one forcing or another without running the fully coupled model. Thus, the SSTs in this study respond to the complete set of all known forcings during the time period over which we calculate the average SSTs, i.e. radiatively active trace gases, aerosols, and land use changes.

We choose combinations of past, present and future prescribed forcings to perform three sets of time-slice simulations in this study. Our '2000' simulations examine the individual and combined effects of year-2000 forcings, compared to a year-1870 pre-industrial control. Simulations labeled '2050' and '2100' examine the effects of year-2050 and year-2100 forcings, respectively, compared to a year-2000 control (see Table 1). Simulations in which only greenhouse gases are perturbed from their control

Table 1 Simulations performed in this study grouped	Group	Name	Forcing	gs	Description	
by the time period examined			O ₃	CO ₂	SST	
	2000-1870	Control	_	_	_	Pre-industrial
		O ₃	✓ ^a	_	-	Depleted O ₃
		CO_2	-	~	-	Present-day CO ₂
		SST	-	_	v	Present-day SSTs
		All	~	~	v	Present-day
	2050-2000	Control	~	~	~	Present-day
		O ₃	_ ^b	~	~	Recovered O ₃
		CO_2	~	~~	~	2050 CO ₂
		SST	~	~	~~	2050 SST
		All	-	~~	~~	2050 conditions
^a '-', \checkmark , \checkmark , \checkmark , and \checkmark	2100-2000	O ₃ control	~	~	~	Present-day
signify that a given forcing is set at pre-industrial, present-day, 2050, and 2100 levels, respectively ^b '-' is used for pre-industrial and recovered ozone levels, since we use historic rather than projected levels for ozone recovery		O ₃	-	~	~	Recovered O ₃
		CO ₂ control	-	~	_	Present-day CO ₂ only
		CO_2	-	~~~	_	2100 CO ₂ only
		SST control	-	_	~	Present-day SSTs only
		SST	-	_	~~~	2100 SSTs only
		All control	~	~	~	Present-day
		All	_	~~~	~~~	Year-2100

levels are labeled, simply, ' CO_2 '. Perturbed ozone simulations are labeled ' O_3 ', and perturbed SST simulations are labeled 'SST'. Simulations with all three forcings perturbed simultaneously are labeled 'all'. The simulations in our study are all at least 500 years long, and represent a subset of the simulations performed at the University of Utah using the AM2.1 model.

The '2000' and '2050' simulations are performed precisely as described-with forcings held at their respective control levels except for those labeled. However, we have not performed each of the '2100' simulations, with year-2000 control conditions and individually-perturbed year-2100 forcings. We do, however, have 2100-1900 simulations performed. To reduce computational cost, we approximate the 2100-2000 response by comparing the 2100-1900 and 2000-1900 individually forced responses. For example, the '2100 SST' responses are examined by comparing the responses to year-2000 and year-2100 SSTs, while ' CO_2 ' and ' O_3 ' forcings are held at their pre-industrial levels in both simulations. This approach is valid inasmuch as the response to external forcings is linear, or independent of the background state; this assumption turns out to be reasonable, as we will illustrate using the '2000' and '2050' simulations.

A considerable portion of this study deals with changes in zonal mean climate features. Here we describe how these zonal mean features are calculated. We isolate zonal mean temperature, zonal wind, sea level pressure, precipitation, surface evaporation, and the mass meridional stream function (Ψ) data. We then perform a cubic spline interpolation after Hu et al. (2011), resampling to a 0.2° latitude grid, and smooth the data using a Gaussian kernel with a 7° latitude standard-deviation. This smoothing is useful in reducing the sensitivity of the threshold indicators to noise in the data. We also find it desirable to obtain an integrated measure of large-scale circulation change, rather than localized changes in cell structure.

Once our data are interpolated and smoothed in latitude, we calculate the poleward Hadley cell boundaries (hereafter Hadley cell edges) as the first zero crossings of $\Psi_{500 \text{ hPa}}$ poleward of the intertropical convergence zone (hereafter ITCZ), after Lu et al. (2007). The first zero crossing poleward of $\pm 50^{\circ}$ in either hemisphere is denoted the Ferrel cell edge. To calculate the surface zonal wind zero crossing poleward of the surface easterlies, we first calculate the latitude of maximum surface zonal winds equatorward of $\pm 70^{\circ}$ latitude, and then take the first surface wind zero crossing equatorward of this maximum.

We define the precipitation–evaporation (P–E) zero crossing (P–E = 0) as the first zero crossing of the P–E field poleward of the latitude of minimum P–E, after K11. We calculate the latitude of maximum precipitation over $\pm 30^{\circ}$ to 70° latitude.

The calculation of the annular modes (AMs) is close to that in Baldwin and Thompson (2009). Using empirical orthogonal function analysis, we calculate the leading mode of decadal mean, zonal mean sea level pressure poleward of $\pm 20^{\circ}$ latitude in each hemisphere. The magnitude of this AM pattern is scaled to correspond to one standard deviation in the present-day control simulation. The zonal mean decadal mean pressure change for each experiment is then projected onto this pattern to produce an AM time series for each experiment.

Changes in zonal mean quantities presented in this study, including temperature, wind, and the indicators described above are presented with measures of significance. We calculate the significance from decadally averaged raw data, using a student's *t* test with unequal sample sizes and unequal variances. It should be kept in mind, however, that the zonal mean indicators described above and their associated confidence intervals are calculated from the latitudinally smoothed fields. The calculations based on unsmoothed fields occasionally produce extreme values for a given month and forcing, with accompanying low confidences in those cases. In general, however, the magnitudes and seasonalities of the different indicators are fairly insensitive to the degree of latitudinal smoothing.

The length of our time-slice experiments (at least 500 years) allows us to effectively suppress the effects of sampling uncertainty due to natural variability. Our data are smooth, and our signals usually highly statistically significant. In addition, we use 10-year averaged model-simulated SSTs to reduce the effects of SST variations.

3 Results

3.1 The zonal mean response

Before examining the specific changes in the circulation, we take a holistic view of temperature and zonal wind changes during the summer and winter seasons. Figure 2 shows the temperature responses due to individual and combined forcings in '2000' and '2050' during December–January–February (DJF). Figure 3 shows the corresponding zonal wind responses. The June–July–August (JJA) temperature and zonal wind responses are shown in the supplementary material in Figures S1 and S2, respectively, and the '2100' temperature and zonal wind responses for DJF are both represented in Figure S3. We note that the '2100' responses are similar to those in '2050', albeit generally more pronounced.

Ozone depletion (' O_3 '), as expected, acts mainly to cool the stratosphere, particularly over the summer hemisphere lower stratosphere (Figs. 2a, S1a). This effect is strongest over the SH, with peak cooling of over 2°C at 100 hPa,



Fig. 2 Latitude-height cross-sections of reference temperature climatology for the simulations indicated at the *left* and change between the time periods shown at the *top* during December–January–February. *Gray* hatching represents changes that are not significant at the 5% level

poleward of 80°S. Ozone recovery (Figs. 2b, S1b, S3a) produces nearly equal and opposite temperature changes. The effect of ozone depletion or recovery on tropospheric temperatures is small and mostly insignificant at the 5% level. However, cooling extends near the surface over Antarctica, consistent with Gillett and Thompson (2003).

Increasing greenhouse gas concentrations (' CO_2 ') contribute to stratospheric cooling of at least 1°C above 60 hPa during both DJF and JJA, intensifying with height at least

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up to 10 hPa (Figs. 2, S1, S3). The tropospheric temperature response is small, but still significant in spite of using fixed control SSTs. The seasonal change in the temperature response to greenhouse gas increases is much smaller than that due to ozone depletion or recovery, since greenhouse gas concentrations vary little over the course of the year compared to ozone concentrations.

In contrast to changing ozone and greenhouse gas concentrations, SST increases ('SST') significantly warm the troposphere, with warming often exceeding 1°C in '2000' and 2°C in the future scenarios. This warming is strongest during the respective winter of each hemisphere. In contrast, the stratosphere cools year-round in the vicinity of the tropopause and over the tropics. This pattern of warming and cooling is likely associated with a rise in tropopause heights, and is an expected consequence of SST warming (Lu et al. 2010).

The combined forcings ('all') act constructively over the past century (Figs. 2g, S1g), cooling the SH lower

stratosphere over 4°C and warming the tropical troposphere over 2°C during DJF. During JJA, responses are weaker but the additive effect of the forcings is still clearly evident. In future simulations (Figs. 2h, S1h, S3g), greenhouse gas increases and SST warming compete with ozone recovery, with net warming over the SH lower stratosphere due to ozone recovery in 2050 changing to slight but significant cooling by 2100 (Fig. S3g).

Shifting our focus now to the zonal mean wind response (see Figs. 3, S2, S3), we note that the stratospheric



Fig. 3 As for Fig. 2 but for zonal wind (in m/s; negative contours *dashed*). Contours are spaced 10 ms⁻¹ apart, starting at 5 ms⁻¹

response can be characterized as being in thermal wind balance with the stratospheric temperature response (not shown). Ozone depletion alone strengthens SH stratospheric westerlies by as much as $\sim 2 \text{ ms}^{-1}$ over the SH, while it weakens NH stratospheric westerlies by $\sim 1 \text{ ms}^{-1}$ during DJF, with a weakly opposite response during JJA. In contrast to the stratospheric response, the tropospheric response to ozone is small except for a meridional dipole near the SH jet, with winds along the poleward flank of the SH jet increasing or decreasing by about 1 ms^{-1} at the surface, with larger changes aloft. Ozone recovery again has the opposite effect. The dipole about the tropospheric jet suggests a dynamical downward influence of the stratosphere on the troposphere, reminiscent of the results of Polvani and Kushner (2002).

Greenhouse gas increases induce year-round easterly anomalies in the tropics ($<0.5 \text{ ms}^{-1}$), and westerly anomalies in southern high latitudes (up to 1 ms^{-1}), with a similar dipole structure about the SH tropospheric midlatitude jet as from ozone depletion during DJF, again extending down to the surface. NH changes include a weak, but at times significant, deceleration along the poleward flank of the midlatitude jet during DJF, which changes to an acceleration during JJA.

SSTs exhibit a strong control over tropospheric circulation, as they largely dictate the temperature and humidity structure of the entire troposphere. SST warming produces stronger dipole wind anomalies about the midlatitude jet than that produced by either greenhouse gas increases or ozone changes alone. These dipoles are stronger over the SH than for the NH during both DJF and JJA. In '2050' and '2100' during DJF, we see a localized strengthening of winds about the SH tropical tropopause.

The model responses to the combined forcings ('all') very nearly match the linear combination of the responses to individual forcings (not shown), with ozone depletion, greenhouse gas increases, and SST warming all acting to shift the tropospheric jets poleward for the '2000' climate simulation. In future simulations, greenhouse gas increases and SST warming act together to overcome the response to ozone recovery, still shifting the tropospheric jets poleward. The clear similarities between the 'SST' and 'all' figures reiterate the importance of the indirect SST effect in shaping the overall circulation response to external forcings.

In general, the zonal mean zonal winds respond more strongly over the SH than over the NH for each forcing. The weak SH wind response in '2050 all' (Fig. 3h) appears to be an exception at first glance. Upon closer examination, however, the weak SH response to the combined forcings turns out to be a cancellation of (1) a strong positive response to SST warming (Fig. 3f), and (2) a strong negative response to ozone recovery (Fig. 3b). Thus the net SH response is weak.

The '2000' DJF temperature and zonal wind responses to the combined forcings agree very well with the linear temperature and wind changes during 1979-2000 in the European Centre for Medium-Range Weather Forecasts 40 Year Re-analysis Project (ERA-40; not shown). ERA-40 data are generally noisier, and include some localized cooling in the tropical mid-troposphere, as well as a deceleration of the surface easterlies in the deep tropics. More importantly, the SH lower stratosphere exhibits a linear cooling trend about 50% stronger than our '2000 all' simulated response. If the observed trend is to be taken at face value, then this suggests that either our model's thermal response to ozone depletion is too small, or that our prescribed ozone depletion is too weak. Our simulated tropospheric wind response, on the other hand, is quite realistic.

3.2 The seasonality of selected circulation features

Now that we have presented a broad picture of the changes in zonal mean temperature and wind patterns, we focus on specific indicators of the tropospheric circulation and the fine scale structure of their seasonal cycles. In the previous section, dipoles in zonal wind allowed us to qualitatively infer latitudinal shifts in circulation features. Here we quantify such shifts, beginning with the Hadley cell edge. The following sections will refer extensively to Figs. 4 and 5. The precise descriptions of the indicators discussed are explained in Sect. 2. The time periods and forcings are listed in Table 1, and annual mean changes for each experiment and forcing are quantified in Table 2.

During the past century, the Hadley cell edges shift slightly poleward ($<0.3^{\circ}$) under the influence of radiative forcings alone, but substantially (up to 1°) under the influence of warming SSTs. Shifts are largest during the summer of each hemisphere, in agreement with the observational study by Hu and Fu (2007), amplifying the seasonal swings in Hadley cell extent. Ozone depletion produces its largest shifts during austral spring and summer, and smaller, mostly insignificant changes during the rest of the year, owing to the seasonally varying nature of ozone forcing.

During the twenty-first century (see Fig. 4b, c), the effects of ozone recovery (blue curve) over the SH neatly oppose those from ozone depletion. Recovery-induced changes over the NH are small and almost nowhere significant, even in the annual mean. By '2100', greenhouse gas increases (red curve) shift the Hadley cell edges poleward significantly year-round over both hemispheres $(0.7^{\circ}-0.3^{\circ})$ in the annual mean).



Fig. 4 The seasonality of shifts in the zonal mean circulation indicators listed at the *left* and described in Sect. 2. Shifts are shown in degrees latitude, except for the annular mode (*bottom row*), in which case values reflect normalized changes in the annular mode indices (in standard deviations). Note the different scale used for the Ferrel cell edge (*third row*). The *color* of each curve denotes the type of forcing, as shown at the *bottom. Column headings* indicate time

periods for which differences are calculated. *Thick lines* highlight statistically significant shifts at the 5% level. *Thin gray lines* indicate the linear sum of the changes for each type of forcing. Each panel is divided into two parts, with the *upper half* showing NH changes, and the *lower half* showing SH changes. *Numbers* in the first column indicate the pre-industrial annual mean latitudes for each feature

	03			CO ₂		SST			All			
	2000	2050	2100	2000	2050	2100	2000	2050	2100	2000	2050	2100
Hadley cell edge	(-0.01)*	(0.02)	(0.02)	0.08	0.07	0.13	0.07	0.43	0.68	0.18	0.50	0.74
	-0.07	0.06	0.06	-0.12	-0.10	-0.21	-0.32	-0.16	-0.61	-0.57	-0.17	-0.78
Surface U zero crossing	(-0.01)	(0.02)	(0.02)	0.17	0.05	0.19	0.39	0.57	0.60	0.56	0.70	0.79
	-0.12	0.11	0.11	-0.23	-0.14	-0.31	-0.56	-0.26	-0.73	-0.98	-0.28	-0.98
Ferrel cell edge	-0.18	0.14	0.14	0.30	(0.06)	0.40	0.70	1.54	2.37	1.01	2.06	3.27
	-0.27	0.27	0.27	-0.39	-0.25	-0.49	-0.70	-0.36	-1.15	-1.52	-0.34	-1.25
Annular mode ^a	(-0.01)	(-0.01)	(-0.01)	0.09	(0.03)	0.09	0.34	0.26	0.48	0.47	0.33	0.57
	0.20	-0.21	-0.21	0.30	0.20	0.39	0.75	0.42	1.02	1.40	0.42	1.17
P-E zero crossing	0.07	-0.08	-0.08	0.31	0.18	0.46	1.11	0.81	1.16	1.53	0.92	1.55
	-0.23	0.21	0.21	-0.44	-0.36	-0.58	-1.10	-1.04	-1.67	-1.92	-1.06	-2.16
Precipitation maximum	(0.01)	-0.07	-0.07	(0.00)	(0.00)	(-0.01)	0.30	0.62	0.60	0.35	0.60	0.61
	-0.07	0.05	0.05	-0.11	-0.06	-0.13	-0.53	-0.44	-0.73	-0.74	-0.45	-0.84

Table 2 Annual mean shifts (in degrees latitude) in the zonal mean circulation features NH and SH values are paired, with NH values appearing above SH values. '2000', '2050', and '2100' are used here as described in the text

* Values in parenthesis are not statistically significant at a 5% level

^a Annular mode shifts are in standard deviations

SST warming (orange curve) shifts the Hadley cell edges significantly during most seasons, in all three time periods studied. Annual mean poleward Hadley cell edge shifts due to SST warming (Table 2) span nearly an order of magnitude, from less than 0.1° during the twentieth century to 0.7° during the twenty-first century. This dominant SST impact holds for all indicators analyzed in this study.

During '2000', in addition to the expected summertime shift, SST warming also strongly affects the Hadley cell edges during May in both hemispheres, producing over 1° widening of the tropics. In contrast, future changes during May over the NH are close to zero, and are strongest instead during the fall. The effects of future SST warming on the SH Hadley cell are overall relatively weak in '2050', only becoming strong year-round by '2100'. We note that the structure and seasonality of the SST forcing used to drive the '2000' and '2050' simulation sets also differ (see Fig. 1b, c), which may help to explain some of the differences.

The linear sum of the effects of the individual forcings (gray) is very similar to the effect of the combined forcings (black), even in many minor details. This linearity is not simply a result of the SST warming dominating the response. For example, we find that the combined effect of the two radiative forcings nearly matches the sum of their individual effects (not shown). It is significant that the linear sum for '2100' approximates the combined response as well as it does, considering that the linear sum for the '2100' includes (1) errors of the sum, as with the '2050' and '2000' cases, and (2) errors of the substitution, or errors from examining the response to twenty-first century

forcing changes with a year-1900 background climatology (see Sect. 2 and Table 1). The match between the '2100' linear sum and combined-forcings run validates the assumption of linearity, as well as our choice of substitution. That said, we see departures from linearity during JJA in the future scenarios (Fig. 4b, c), and while we do not test the significance of these differences, they appear to be real and systematic.

In summary, we see mostly poleward shifts in the Hadley cell boundary, with strong seasonalities. Over the past century, this seasonal cycle peaks in the summertime over both hemispheres, although the largest overall widening occurs in May due to the phase difference of the NH and SH shifts. This detail in seasonality is a slight departure from that in observations (Hu and Fu 2007), in which similar shifts during the summer and fall of each hemisphere produce fairly consistent year-round tropical widening. Future simulations produce more year-round widening, and a different seasonality. Shifts over the NH become stronger than shifts over the SH, even during seasons with no cancelling effect from ozone depletion over the SH; changes in the SST response are mostly responsible for the strong NH shift. The reasons for this change in seasonality from '2000' to '2050' and '2100' are unclear. We suspect that non-linear behavior, as well as changes in the structure of the driving SSTs and sea ice, might play a role; such structural changes may include the direct effect of aerosols on SSTs. In general, the SST effect dominates shifts in the Hadley cell, producing a response similar to that of the combined forcings, which in turn very closely resembles the linear sum of the individual responses.



Fig. 5 As in Fig. 4, but for the hydrological indicators listed at the left

The descending branches of the Hadley cell are roughly co-located with the subtropical high pressure cells and consequently with zero crossings of the zonal wind at the surface. Here we use the surface wind zero crossing (hereafter U = 0; see Fig. 4, second row) to take another look at tropical widening. Overall, latitudinal shifts in the Hadley cell and U = 0 are similar in magnitude, but differences exist in the details and seasonality. For example, shifts occur earlier in the year over the NH than for the Hadley cell edge. This NH–SH phase difference produces tropical widening in most seasons during '2000'. By '2100', strong NH changes contribute to widening of as much as 2° .

We now shift our focus to the Ferrel cell, motivated by its strong ties to surface hydrology features and by the strong effect of ozone depletion on mid- and high-latitude winds (see Fig. 3). Not surprisingly, the Ferrel cell edge shifts strongly due to ozone depletion and recovery during SH Spring (Fig. 4, third row; note the differing axes). In '2000' and '2050', ozone changes at times shift the Ferrel cell more than either greenhouse gas increases or SST warming. But in our simulations, the combined effect of the latter two forcings consistently and strongly outweighs the effect of ozone recovery in '2050' and '2100', in contrast to P11a and Polvani et al. (2011b, hereafter P11b).

Work by Staten et al. (2010) suggests that poleward circulation features generally shift more than their

equatorward counterparts. In the present study, we see again that the Ferrel cell edge shifts more strongly (at nearly 6°) than the Hadley cell edge (at about 1.5°), implying a widening of the Ferrel cell. This widening is substantial in both hemispheres throughout most of the year, except over the SH during JJA, giving rise to a seasonality distinct from that of the Hadley cell.

Up to this point we have presented our results in an equator-to-pole fashion. We now turn our attention to the AMs (Fig. 4, bottom row), which can be seen as an integrated measure of the circulation over each hemisphere (poleward of $\pm 20^{\circ}$). Here we examine how changes in the mean state project onto the AM patterns calculated from present-day climate. Positive values here reflect a decrease in sea level pressure over the poles and a poleward shift of midlatitude westerlies, similar to what we would expect with a poleward-shifting Ferrel cell. It is interesting to note, therefore, that the seasonalities of the above described extratropical circulation changes over the NH differ markedly from that of the NAM. The NAM response is very muted in summer but strong during early winter and spring, particularly in '2050' and '2100'. In contrast, the SAM response is strongest during SH summer as with the Ferrel cell edge response. The strong responses over the NH are roughly coincident with the active seasons of the NAM, when coupling between the stratosphere and the troposphere is expected to be strongest (Thompson and Wallace 2000). It is also noteworthy that, as with the other circulation indicators, the seasonality of the AM response to SST warming changes sharply between the '2000' scenario and the '2050' and '2100' scenarios.

3.3 The seasonality of selected hydrological features

Our interest in the circulation indicators described above ultimately stems from their importance to surface climate, particularly hydrology. Here we examine the zero crossing of precipitation minus evaporation (P–E = 0; Fig. 5, top row) in the tropics, noting its link to the subsiding branch of the Hadley cell. Not surprisingly, the seasonality of P–E = 0 more closely resembles that of U = 0 at the surface, rather than the mid-tropospheric Hadley cell edge at 500 hPa. We see a similarity in the seasonality of P–E = 0 to the observed subtropical precipitation minimum trends described in Hu et al. (2011), with a NH peak during March–April–May, and a SH peak during DJF, but little agreement with the observed seasonality of the subtropical dry zone trends presented in Zhou et al. (2011).

SST warming produces poleward P–E = 0 shifts in '2000' with a seasonality similar to that for U = 0 (Fig. 4d), but in '2050' and '2050' it produces poleward P–E = 0 shifts year-round, with a pronounced 1.5° latitude peak in July over the NH; the ~ 0.7° shift over the SH is substantial as well. Again, SST warming explains the shifts in the combined response to a large extent; the effects of direct radiative forcings alone are similar to those described for the dynamical indicators above, and are particularly weak for P–E = 0.

Moving our attention once more to the extratropics, we see that shifts in the midlatitude precipitation maxima (Fig. 5, bottom row), which are indicative of shifts in the location of stormtracks, and are thus reminiscent of shifts of the edge of the Ferrel cell (Fig. 4, third row). Over the NH, warming SSTs shift the Ferrel cell edge more than the precipitation maximum, while over the SH, warming SSTs shift the SH precipitation maximum more than the SH Ferrel cell edge.

4 Summary and discussion

We perform time-slice simulations using the GFDL AM2.1 model to diagnose anthropogenically-induced shifts in the general circulation during the twentieth and twenty-first centuries. Forcings over the past century have produced statistically significant poleward shifts in upper level circulation patterns, as well as surface circulation and hydrology patterns. Estimates of tropical widening are within the range of previous modeling studies. Our simulations suggest that SST warming, and its dominant impact

on the thermal structure and moisture distribution in the troposphere, play a crucial role in the response of the general circulation and global hydrological cycle to anthropogenic forcings. Perhaps the most important outcome of this study is our projection that poleward shifts over the coming century will continue over both hemispheres, and will remain significant, in spite of the competing effect of ozone recovery.

Annual mean tropical widening estimates in our study from all forcings combined are mostly below 1° latitude over the past century. Assuming most of this widening occurred during the last 25 years, this gives us a rate of \sim 1° per 25 years, or 0.4° per decade. This is small compared to the 2.0°–4.8° per decade widening seen in observational studies reviewed by Seidel et al. (2008), and at the bottom end of the 0.3°–3.0° per decade range of observational estimates. However, the changes are typical for the model-derived—estimates discussed in Reichler (2009). We conclude that the more extreme poleward trends seen in observational datasets are probably unrealistic, and largely a result of natural variability and observational sampling uncertainty.

Ferrel cell edge shifts are considerably larger than the tropical shifts in this study. While the Hadley cell widened by about 0.8° in '2000' in response to the combined forcings, the combined poleward shifts of the NH and SH Ferrel cell edges amounted to 2.5° latitude. The Hadley cell is expected to widen by an additional 1.5° in the coming century, while the combined Ferrel cell edge shifts are expected to amount to an additional 4.5°. The strong shift of the Ferrel cell edge relative to that of the Hadley cell is reminiscent of a similar pattern noted by Kang and Polvani (2010) on interannual timescales. Some of the relationships between the shifts of different circulation features are discussed in Staten et al. (2010), and are the subject of ongoing research. Preliminary results suggest that, in general, poleward circulation features shift more strongly than equatorward features. This implies (and may be parsimoniously explained by) a widening of the Ferrel cell, reminiscent of the pattern seen in Kidston and Vallis (2010) when studying climatological eddy-driven jet position and width.

In agreement with DP09, our data reveal that the effects of individual forcings on the circulation are nearly additive. We use this result to estimate '2100 SST' and '2100 CO_2 ' changes, as described in Sect. 2. The linear sum of the '2100' purely-forced changes approximates the '2100 all' changes quite well, validating this approach. Exceptions to linearity in '2050' and '2100' are strongest in general during NH summer, while in the '2000' comparisons, we see more departures from linearity during SH summer. The consistency of these departures between experiments suggests that they are realistic.



Fig. 6 Changes in December–January–February precipitation (in mm/day), for the time periods shown at the *top*, and the forcing type shown at the *left*. The zonal mean changes are depicted to the

right of each map (also in mm/day), with the global mean change listed next to each latitudinal profile

The circulation shifts seen in this study are also reflected by changes in the AMs. The seasonality of the change in the SAM is roughly in line with that of other circulation features, while the seasonality of the change in the NAM bears little semblance to the seasonality of the Hadley or Ferrel cell edge shifts. The mismatch in the seasonality between the NAM and other circulation features illustrates that caution is needed when using AMs to examine mean changes in circulation. An increase in the AM does not clearly distinguish between changes in the structure of the circulation (e.g. the location of the midlatitude jet) and the intensity of the circulation (e.g. the strength of the jet).

We project that the poleward shifts in SH circulation features observed over the past century will continue throughout the next century. As with other studies, we find that SH DJF trends will be substantially weaker during the coming decades (see Polvani et al. 2011a, b; Son et al. 2010). Our results contrast with other studies in (1) the relative strength of the indirect SST response, and (2) the relative weakness of direct radiative response to changing ozone concentrations. In what follows, we contrast our responses to those from previous studies, and discuss possible reasons for the differences in these two responses.

Figure 6 shows in greater detail the sensitivity in mean DJF precipitation in response to the various forcings. This can be compared to Figure 12 in P11b, to Figure 11 in DP09, and to Figure 2 in K11. All three of these other studies use CAM3, although K11 also take data from the CMAM model. DP09 examine ensembles of 50-year transient simulations, while P11b and K11 perform 50-year time-slice simulations. Despite methodological differences, all three studies depict an extratropical precipitation change

due to ozone depletion or radiative forcings using CAM3 that is generally stronger than we show here due to 'O₃' or 'CO₂' using AM2.1 (Fig. 6, first and second rows, respectively). On the other hand, we see a much stronger extratropical precipitation response to SST changes (Fig. 6, third row). As with these other studies, our experiments produce overall subtropical drying and high-latitude moistening, but our results suggest that the direct effects of ozone and greenhouse gases play a secondary role compared to the indirect SST effect.

While previous studies have generally focused on change during the past several decades, we focus here on the present-day versus pre-industrial response. Since roughly half of the DJF global mean SST increase during 1870–2000 occurred before 1960 (not shown), our choice of time period may partially explain the dominant role of SSTs in the overall '2000' response.

However, we still see a stronger contribution to widening due to greenhouse gases and SSTs than that seen in P11a or P11b. Our direct radiative response to greenhouse gases is comparable to their combined greenhouse-gas/SST response. P11a, P11b, and this study all use 10-year average prescribed SSTs, so it is unlikely that natural SST variability is confounding our results or those of P11a or P11b.

To understand the differing ozone responses in our work compared to P11b, we refer to Figure 3 in Son et al. (2008). CM2.1 (the coupled model companion to AM2.1) has a weaker polar cap temperature response than CCSM3 (the coupled model companion to CAM3). Assuming the differences between prescribed ozone changes in the two studies are small, CM2.1 is less sensitive to ozone depletion than CCSM3, and by extension AM2.1 may be less sensitive than CAM3 as well. However, we note that data from the standard 24-level configuration of CM2.1 were examined in Son et al. (2008), while we use a 48-level version of AM2.1. We calculate that the SH lower stratosphere temperature response to the combined forcings is 30% stronger in our high-top configuration of AM2.1 than in the standard 24-level AM2.1 configuration. This increasing sensitivity with vertical resolution is expected (Karpechko et al. 2008), and may 'close the gap' in sensitivity somewhat between AM2.1 and CAM3.

For the coming decades, our work generally agrees with previous studies, in that ozone recovery will contribute to small poleward—or even equatorward—summertime circulation shifts over the Southern Hemisphere. The precise magnitude and sign depends on the model used (Perlwitz 2011). However, there will be an ongoing widening during the remaining seasons, when the effects of ozone recovery are less important. Our study suggests that a warming ocean is the dominant driver for such change. Acknowledgments We thank Lorenzo Polvani and an anonymous reviewer for their constructive comments. We also thank Junsu Kim for valuable discussion. Allocation of computer time from the Center for High Performance Computing at the University of Utah is also gratefully acknowledged. This research was supported by the NSF-GK12 program, NSF grant ATM0532280, and NOAA grant OAR-OGP-2006-2000116. This research used resources of the National Energy Research Scientific Computing Center, which is supported by the Office of Science of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231.

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