

1 **Observations of near-surface heat flux and temperature**  
2 **profiles through the early evening transition over contrasting**  
3 **surfaces**

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8 **Abstract** Near-surface turbulence data from the Mountain Terrain Atmospheric Mod-  
9 eling and Observations (MATERHORN) program are used to study counter-gradient  
10 heat fluxes through the early evening transition. Two sites, subjected to similar large-  
11 scale forcing but with vastly different surface and subsurface characteristics, are con-  
12 sidered. The Playa site is located over a large desert playa with high soil moisture  
13 and no vegetation. The Sagebrush site is located over desert steppe with sparse veg-  
14 etation and little soil moisture. The observed counter-gradient heat flux is found to  
15 be site and height dependent. At the Sagebrush site, the counter-gradient flux at 5  
16 m and below occurs when the sensible heat flux reversal precedes the local temper-  
17 ature gradient reversal. For 10 m and above, the counter-gradient flux occurs when  
18 the sensible heat flux reversal follows the local temperature gradient reversal. At the  
19 Playa site, the counter-gradient flux at all tower heights occurs when the flux re-  
20 versal follows the local gradient reversal. The phenomenon is discussed in terms of  
21 the mean temperature and heat flux evolution. The temperature gradient reversal is a  
22 top-down process while the flux reversal occurs nearly simultaneously at all heights.

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The differing counter-gradient behaviour is primarily due to the differing subsurface characteristics between the two sites. The combined high volumetric heat capacity and high thermal conductivity of the Playa site lead to weak temperature gradients that affect the relative strength of terms in the heat flux tendency equation. A critical ratio of the gradient production to buoyant production of sensible heat flux is suggested to predict the counter-gradient behaviour.

**Keywords** Counter-gradient heat flux · Heat flux evolution · Similarity theory · Surface layer · Temperature evolution

## 1 Introduction

Under idealized, fair-weather daytime conditions, a well-mixed convective layer exists above an unstable surface layer. Within the surface layer, fluxes are considered to be constant with height and shear production of turbulence is important. Eddies generated from surface heating pass through the surface layer and impart energy into the mixed layer from below. Additionally, warm dry air is entrained from the free atmosphere, feeding energy and mass into the mixed layer throughout the day (Fedorovich et al., 2001; Pino et al., 2003; Angevine, 2007). Under nocturnal conditions, a stable boundary layer, characterized by weak and possibly intermittent turbulence and strong stratification, develops near the surface. The mixed layer becomes decoupled from the surface and decays into a residual layer, characterized by neutral stratification and weak turbulence (Stull, 1988; Mahrt et al., 1998; Mahrt, 1999). While the structure of the daytime and nocturnal boundary layers are fairly well understood, relatively little is known about the transition from daytime to nocturnal conditions. Adopting the terminology of Nadeau et al. (2011), this transition is broken into two portions. The afternoon transition begins when the surface sensible heat flux begins to decrease from its midday maximum followed by the evening transition when the surface sensible heat flux becomes negative. The early evening transition (EET) is the 1 to 2 h period before and after the heat flux reversal. Many researchers have noted that a greater understanding of the EET is important for model development and better forecasts for wind energy production, convective storm initiation, and pollutant dispersion (e.g. Cole and Fernando, 1998; Sorbjan, 1997; Acevedo and Fitzjarrald, 2003; Edwards et al., 2006; Angevine, 2007; Nadeau et al., 2011; Lothon and Lenschow, 2011; Lothon et al., 2014).

During the EET, the flow is inherently unsteady. Turbulence is non-stationary, fluxes are small and the driving forces evolve on short time scales. Furthermore, during this transition period, a well-defined surface layer and mixed layer do not exist (Grant, 1997). A variety of weak forcings drive the physics, turbulent mixing decreases and horizontal heterogeneity and differential cooling become increasingly important. Also, the traditional daytime scaling laws for the convective boundary layer (Deardorff, 1970) and surface layer (Monin and Obukhov, 1954) are no longer well-defined. Finally, after the surface sensible heat flux has reversed, entrainment fluxes continue to feed energy into the boundary layer for some time (Nieuwstadt and Brost, 1986; Sorbjan, 1997; Grimsdell and Angevine, 2002; Pino et al., 2006).

65 These factors combined with a relative lack of observations make a thorough analysis  
66 of the EET difficult.

67 Until recently, the EET was rarely studied. Starting with the work of Nieuwstadt  
68 and Brost (1986), a number of LES studies have been conducted to understand the  
69 decay of the convective boundary layer. Over the years, the studies have increased  
70 in complexity and allowed for more realistic forcing time scales and boundary con-  
71 ditions (Sorbjan, 1997; Acevedo and Fitzjarrald, 2001; Brown et al., 2002; Edwards  
72 et al., 2006; Kumar et al., 2006; Pino et al., 2006; Goulart et al., 2010; Kumar et al.,  
73 2010; Rizza et al., 2013; Taylor et al., 2014). Additionally, a number of laboratory  
74 experiments have been conducted to study transitional stability (Comte-Bellot and  
75 Corrsin, 1971; Cole and Fernando, 1998; Kang et al., 2003). To a lesser extent, obser-  
76 vations are beginning to be used to study the decay of convective turbulence. Acevedo  
77 and Fitzjarrald (2001) utilized a dense sensor network to study temporal and spatial  
78 variability in mean variables through the EET, Nadeau et al. (2011) used field data  
79 to successfully model the decay of turbulent kinetic energy in a convective surface  
80 layer over contrasting surface types. Later, the Boundary Layer Late Afternoon and  
81 Sunset Turbulence (BLLAST) campaign was specifically designed to experimentally  
82 study the EET (Lothon et al., 2014). Perhaps the only field study to specifically study  
83 near-surface, counter-gradient behaviour during the EET is the BLLAST study con-  
84 ducted by Blay-Carreras et al. (2014). Their work found a persistent time lag between  
85 the time of the buoyancy flux reversal and local gradient reversal. Typical lag times  
86 persisted between 30 and 80 minutes. They concluded that the phenomena might  
87 be site-dependent and that further studies were necessary. In light of this and the  
88 fact that nearly all numerical weather models assume that surface fluxes are directed  
89 down-gradient (Mahrt, 1999), this topic merits further study.

90 Here, we build upon the work of Blay-Carreras et al. (2014) by contrasting two  
91 experimental sites that strongly differ from the one used in their study. First, the  
92 Playa site is located on a large alkaline playa with no vegetation, shallow water table  
93 and high soil moisture. Second, the Sagebrush site is located over desert steppe with  
94 limited soil moisture. We use turbulence data collected in the atmospheric surface  
95 layer to study the evolution of near-surface heat-flux and temperature-gradient pro-  
96 files through the EET. The goal of this study is to provide additional clarity regarding  
97 the evolution of near-surface heat flux and temperature gradients through the EET.

## 98 2 Methods

99 Data for the analysis were collected during the Mountain Terrain Atmospheric Mod-  
100 eling and Observations Program. The principal objective of MATERHORN is to im-  
101 prove weather predictability in regions of complex terrain. The experimental portion  
102 of the program consisted of two field campaigns that took place at the United States  
103 Army Facility, Dugway Proving Ground in Utah’s West Desert, USA. The first field  
104 campaign ran from 26 September – 7 November 2012 and focused on quiescent con-  
105 ditions with minimal synoptic forcing. The second campaign ran from 1 May – 6  
106 June 2013 with an emphasis on synoptic flows. Through both campaigns, contin-  
107 uous observations of the near-surface wind and temperature profiles and the surface

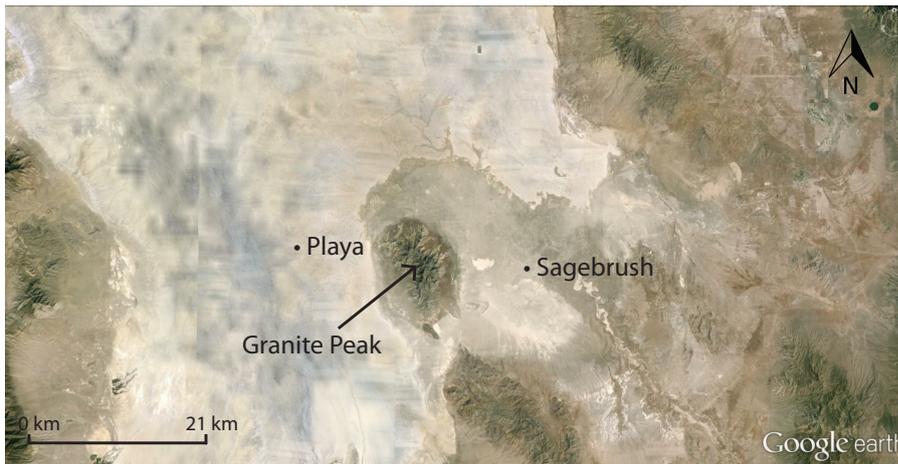


Fig. 1 Map of the two experimental sites (Google Earth, 2013).

108 energy balance were made. During 24 h intensive observation periods (IOPs), addi-  
 109 tional instrumentation such as tethered and free flying balloons, aircraft, lidars, hot  
 110 wire anemometers, and infrared cameras were deployed. Both campaigns consisted  
 111 of ten IOPs. Full details and objectives of the MATERHORN program are found in  
 112 Fernando et al. (submitted to *Bull. Amer. Meteorol. Soc.*).

## 113 2.1 Experimental Sites

114 For the current study, we consider two highly instrumented sites, with their mean  
 115 soil and surface characteristics reported in Table 1. First, the Playa site is located on  
 116 a large desert playa (part of the dry remnants of the ancient Lake Bonneville) with  
 117 no vegetation and an elevation of 1296 m above sea level (40°8'5.9" N, 113°27'7.8"  
 118 W). The playa surface and soil characteristics are nearly homogeneous following rain  
 119 events with a gradual increase in spatial heterogeneity until another rain event occurs.  
 120 At depths beyond 60 mm, the playa soil is nearly always saturated. Due to high soil  
 121 salinity at the Playa site, the volumetric water content (*VWC*) measurements were  
 122 made by hand. The fall measurements were conducted only three times at a single  
 123 location while the spring measurements were conducted every IOP at 20 locations  
 124 (Hang et al., submitted to *Boundary-Layer Meteorol.*). Thus, a direct comparison be-  
 125 tween the fall and spring *VWC* is impossible. Based on the surface albedo ( $a$ ), thermal  
 126 conductivity ( $k$ ) and volumetric heat capacity of the soil defined as  $C = \rho * c$  where  
 127  $\rho$  is density and  $c$  is specific heat capacity, it is evident the mean soil moisture at the  
 128 Playa was higher during the fall campaign than the spring. Under quiescent, convec-  
 129 tive conditions, an up-valley northerly flow develops. There is a typical calm period  
 130 associated with sunset followed by the development of a down-valley southerly flow  
 131 with a jet-like structure through much of the night.

132 The Sagebrush site is located approximately 25 km to the east of the Playa site  
 133 (40°7'16.9" N, 113°7'44.7" W) at an elevation of 1316 m above sea level. The two

134 sites are separated by Granite Peak, a small mountain with a maximum elevation  
 135 of 850 m above the valley floor (Fig. 1). The vegetation is predominately Grease-  
 136 wood (Emrick and Hill, 1999) on the order of 1 m tall. The  $VWC$  is much lower  
 137 at the Sagebrush site, allowing for a smaller heat capacity and thermal inertia ( $TI$ ).  
 138 Contrary to the Playa site, the mean soil moisture at Sagebrush is higher during the  
 139 spring campaign. Additionally, the leaf area index ( $LAI$ ) increases and subsequently  
 140 decreases the mean surface albedo. Under quiescent, daytime conditions a north-  
 141 westerly breeze develops; following the calm associated with transition, a southerly  
 142 drainage flow develops with the formation of occasional low-level jets.

**Table 1** Soil and surface characteristics at the Playa and Sagebrush sites.  $VWC$  is the volumetric water content,  $a$  is the surface albedo,  $k$  is the measured 50 mm thermal conductivity of the soil,  $C$  is the 50 mm volumetric heat capacity computed from  $C = k/\alpha$  where  $\alpha$  is the measured thermal diffusivity of the soil,  $TI \equiv \sqrt{k * C}$  is the 50 mm thermal inertia of the soil,  $LAI$  is the leaf area index estimated from NASA's MODIS tool, and  $z_0$  is the surface roughness.

	Site	$VWC$	$a$	$k$ ( $W m^{-1} K^{-1}$ )	$C$ ( $MJ K^{-1} m^{-3}$ )	$TI$ ( $J m^{-2} K^{-1} s^{-1/2}$ )	$LAI$	$z_0$ (mm)
Fall	Playa	0.30	0.31	0.90	2.2	1400	0	0.61
	Sagebrush	0.09	0.27	0.49	1.3	800	0.17	93
Spring	Playa	0.38	0.33	0.77	2.1	1270	0	0.11
	Sagebrush	0.13	0.24	0.72	1.7	1100	0.24	140

143 The surface roughness length ( $z_0$ ) was estimated for both sites by considering  
 144 wind speed profiles under near-neutral conditions. A least squares, linear regression  
 145 of the wind speed ( $U$ ) as a function of  $\ln(z)$  was computed for each 5 min period.  
 146 Regressions with  $R^2$  values below 0.99 were removed. Next, the y-intercept of the  
 147 regression was used to compute  $z_0$  for each profile. Finally  $z_0$  was estimated as the  
 148 median value of  $z_0$  from all profiles considered. As expected,  $z_{0,Playa} \leq z_{0,Sagebrush}$ ,  
 149 with  $z_{0,Sagebrush}$  increasing in the spring, due to increased vegetation.

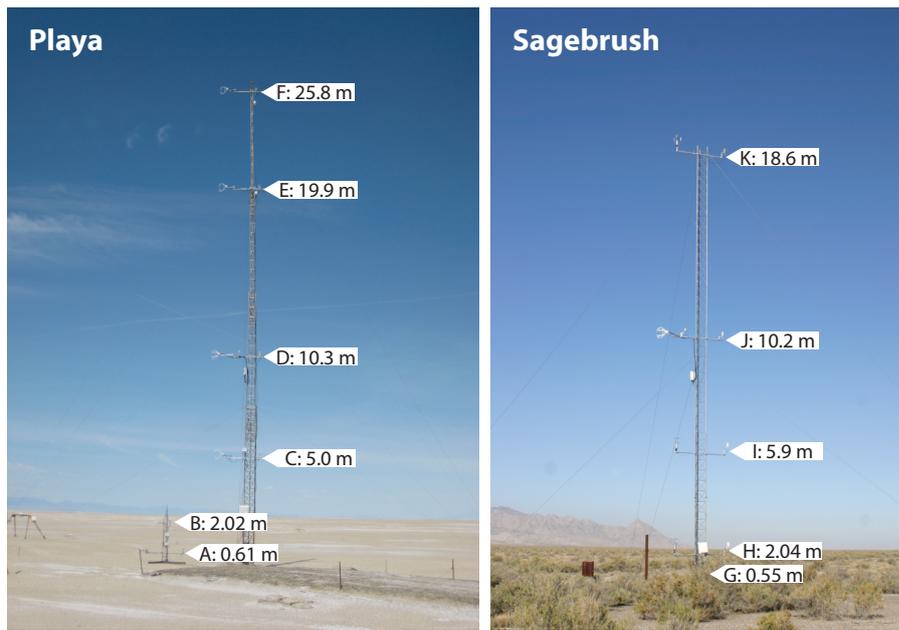
## 150 2.2 Instrumentation

151 At both sites, sonic anemometers and type-E thermocouples were used to capture  
 152 turbulence data at multiple levels. The thermocouples used were 0.0127 mm in di-  
 153 ameter with no radiation shield or active ventilation as the solar loading is expected  
 154 to be negligible (Erell et al., 2005). The thermocouples were placed near the centre  
 155 of sonic path for a spatial separation on the order of several tens of millimeters. The  
 156 Playa site had six measurement levels between 0.5 and 26 m, while the Sagebrush  
 157 site had five measurement levels between 0.5 and 20 m. Due to occasional instru-  
 158 mentation problems at the 26-m Playa tower, and to create consistency between sites,  
 159 we only examine the five measurement heights between 0.5 and 20 m at both sites.  
 160 Fast-response, open-path, infrared gas analyzers were positioned at 10 m at both sites,  
 161 with a spatial distance of 60 mm from the sonic anemometer measurement volume,  
 162 to measure the latent heat flux ( $H_L$ ). At both sites, approximately 50 m to the west

163 of the main towers, soil property sensors were buried at a depth of 50 mm to directly  
 164 measure the thermal conductivity and diffusivity ( $\alpha$ ) of the soil. Finally, near the soil  
 165 sensors, the four components of the radiation balance were measured on a sawhorse-  
 166 type structure at 2 m above the surface. Site and sensor information is given in Table  
 167 2 and Fig. 2.

**Table 2** Instrumentation deployed at the Playa and Sagebrush sites. Accuracy given as reported by the manufacturer. Tower locations refer to Fig. 2.  $u$ ,  $v$ , and  $w$  are the streamwise, spanwise and vertical velocity components, respectively;  $T_s$  is the sonic derived temperature;  $H_2O$  is the mass density of  $H_2O$ ;  $P$  is atmospheric pressure;  $T$  is air temperature;  $RH$  is relative humidity;  $k$  is the soil thermal conductivity and  $\alpha$  is the soil thermal diffusivity.

Instrument name	Variables measured	Accuracy	Sampling frequency (Hz)	Manufacturer	Tower Locations
CSAT3	$u, v$	$\pm 0.08 \text{ m s}^{-1}$	20	Campbell Sci.	A, B, C, D, E, F, J
	$w$	$\pm 0.04 \text{ m s}^{-1}$			
	$T_s$	n/a			
EC150	$H_2O$	n/a	20	Campbell Sci.	D, J
	$P$	$\pm 15 \text{ hPa}$			
RMY8100	$u, v, w$	$\pm 0.05 \text{ m s}^{-1}$	20	R.M. Young	G, H, I, K
	$T_s$	$\pm 2^\circ\text{C}$			
FW05	$T$	$\pm 0.07^\circ\text{C}$	20	Campbell Sci.	All
HMP45	$T$	$\pm 0.25^\circ\text{C}$	1	Vaisala	All
	$RH$	$\pm 2\%$			
TP01	$k$	$\pm 5\%$	1/600	Hukseflux	- 50 mm
	$\alpha$	$\pm 20\%$			



**Fig. 2** Photographs looking north-west toward the Playa tower (left) and Sagebrush tower (right) with instrument heights imposed on the image. The northern portion of Granite Peak is visible behind the Sagebrush tower. Height labels refer to Table 2. For simplicity, tower heights are referred to as 0.5, 2, 5, 10, 20, and 26 m throughout this study. The 0.5 and 2 m Playa instrumentation is mounted on a smaller tower to the west of the main tower to minimize flow distortion. At both sites, the radiation balance and soil property measurements were made approximately 50 m to the west of the main tower.

## 168 2.3 Data Analysis

169 Data were analyzed with the Utah Turbulence in Environmental Studies processing  
 170 and analysis code (UTESpac). Despiking and quality control were performed follow-  
 171 ing Vickers and Mahrt (1997), planar fitting was applied following Wilczak et al.  
 172 (2001) and density corrections were applied to the latent heat flux following Webb  
 173 et al. (1980). Based on the previous work of Blay-Carreras et al. (2014) and ogive  
 174 tests (Aubinet et al., 2012), 5-min averaging periods and linear detrending were cho-  
 175 sen chosen as the best combination to isolate the turbulent motions from the rapidly  
 176 evolving mean state through the EET. Finally, due to small spatial separations in the  
 177 eddy-covariance systems, no spectral corrections were applied (Aubinet et al., 2012).

178 Temperature gradients were computed from the fine-wire thermocouples using  
 179 finite difference techniques. A forward difference is used for the lowest level (Error  
 180  $O(dz)$ ), a backward difference for the highest level (Error  $O(dz)$ ), and a three-point  
 181 difference (Error  $O(dz^2)$ ), utilizing the analytical derivative of a Lagrange interpolat-  
 182 ing polynomial, for the middle levels (Chapra and Canale, 2010).

## 183 2.4 Transition Analysis

184 In order to study flux and gradient evolution through the EET, a relative time  $\tau$  is  
 185 defined as  $\tau = t - t_{R_n=0}$  where  $t$  is time and  $t_{R_n=0}$  is the first time period where the net  
 186 radiation has become negative.  $\tau_{\text{flux}}$  represents the relative time when the sensible heat  
 187 flux ( $H$ ) reverses direction and  $\tau_{\text{grad}}$  represents the relative time when the potential  
 188 temperature gradient ( $\partial\bar{\theta}/\partial z$ ) reverses direction. The identification method of  $\tau_{\text{grad}}$   
 189 and  $\tau_{\text{flux}}$  differ one from the other.  $\tau_{\text{grad}}$  is defined as the timestep following the last  
 190 period where the gradient was negative. This is because the gradients at 5 m and above  
 191 are weak with slightly positive and negative values before stabilization occurs. Once  
 192 the stabilization has occurred, the gradients typically become persistently positive.  
 193 Contrarily,  $\tau_{\text{flux}}$  is identified by the first time period where the heat flux becomes  
 194 negative. This is because the strongly positive fluxes transition into weakly negative  
 195 fluxes with occasional positive values. The reversals were identified computationally  
 196 with careful examination to ensure that the reversal is accurately captured. The mean  
 197 gradient and heat flux behaviour is addressed in Sect. 3.3 and 3.4, respectively.

198 Next, we define a time lag,  $t_{\text{lag}} = \tau_H - \tau_{\text{grad}}$  to quantify delays between the gradi-  
 199 ent and flux reversals. Therefore,  $t_{\text{lag}} > 0$  indicates that the gradient reversal precedes  
 200 the flux reversal (Fig. 5a, quadrant I) and  $t_{\text{lag}} < 0$  indicates the flux reversal precedes  
 201 the gradient reversal (Fig. 5b, quadrant III) which is the behaviour observed by Blay-  
 202 Carreras et al. (2014).

203 Finally, we filter the data to eliminate transitions with incomplete data availability,  
 204 excessive clouds, mean wind speeds above  $10 \text{ m s}^{-1}$  at 5 m, and non-monotonically  
 205 decreasing temperatures through the late afternoon transition. We do this to limit our  
 206 study to idealized, quiescent days with little synoptic forcing in an effort to focus  
 207 on microscale phenomena. We are left with 8 transition periods at Playa and 13 at  
 208 Sagebrush (Table 3).

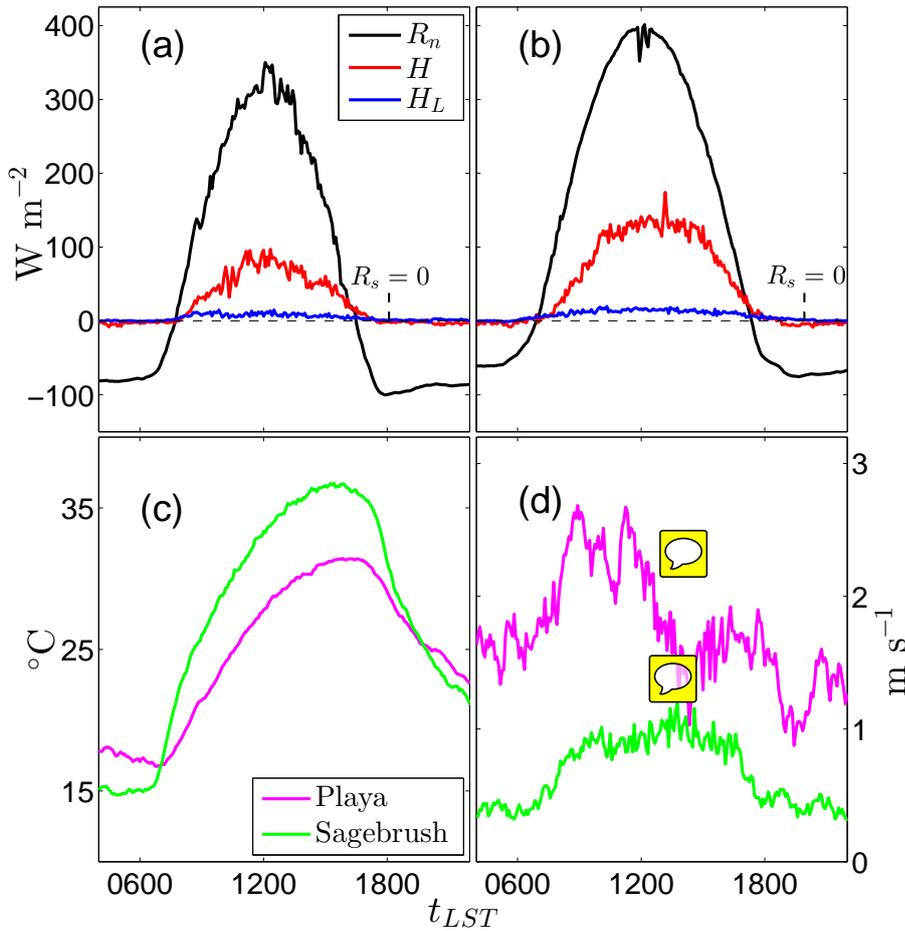
## 209 3 Results and Discussion

### 210 3.1 Surface Fluxes

211 Fig. 3 shows the averaged net radiation ( $R_n$ ), sensible heat flux ( $H$ ), latent heat flux  
 212 ( $H_L$ ), potential temperature ( $\theta$ ), and wind speed for all days considered at both sites.  
 213 The mean daytime  $R_n$  is appreciably higher at the Sagebrush site, consistent with the  
 214 lower albedo, while the night time  $R_n$  magnitude is appreciably higher at the Playa  
 215 site, consistent with the higher volumetric heat capacity and surface temperature (Fig.  
 216 5c). The formation and decay of sensible heat flux at Playa is much more gradual  
 217 than that of Sagebrush. At the Sagebrush site,  $H$  reaches a maximum values of ap-  
 218 proximately  $135 \text{ W m}^{-2}$  that persists for several hours and then rapidly decays as  $R_n$   
 219 decreases. At the Playa site,  $H$  briefly builds to a maximum value of approximately  
 220  $85 \text{ W m}^{-2}$  and almost immediately begins to slowly decay. At Playa, the positive  
 221 heat flux persists for approximately an hour after net-radiative sunset while the rever-  
 222 sal at Sagebrush typically occurs around 30 min after net-radiative sunset. Similar to

223 the heat flux, the 10-m potential temperature at Playa increases and decreases much  
 224 more gradually than at Sagebrush, with a much smaller diurnal amplitude.

225 Given the arid nature of the region, the magnitude of  $H_L$  is quite small at both  
 226 sites,  $H_L$  reaches a maximum of approximately 12 and 19  $\text{W m}^{-2}$  at Playa and Sage-  
 227 brush, respectively. Wind speed yields a mean daytime Bowen ratio, defined as  $\beta \equiv H/H_L$ ,  
 228 of approximately 7 at both sites. Given the much higher soil moisture at Playa, this  
 229 result is likely due to two things. First, the thin, smooth crust on the playa surface is  
 230 effective at preventing moisture transport. Second, plant transpiration likely plays an  
 231 important role in the moisture budget at the Sagebrush site. Finally, though  
 232 the sites are geographically close to one another, the smooth surface at the Playa site  
 233 allows for significantly higher mean wind speeds.



**Fig. 3** Time series of the mean, 10-m variables for all days considered at both sites. Panel (a) and (b) give the sensible ( $H$ ), latent ( $H_L$ ) and net-radiative fluxes ( $R_n$ ) at the Playa and Sagebrush sites, respectively.  $R_s = 0$  indicates local solar-sunset. Panel (c) gives the mean potential temperature and panel (d) gives the mean wind speed.

### 3.2 Monin-Obukhov Scaling and Counter-Gradient behaviour

To better understand the scaling of fluxes and temperature profiles during the EET, the heat fluxes are plotted in the traditional Monin-Obukhov similarity theory (MOST) framework. Fig. 4 shows the non-dimensional temperature gradient ( $\phi_h$ ) as a function of stability ( $\zeta$ ). Where  $\phi_h$  and  $\zeta$  are defined as:

$$\phi_h(\zeta) = \frac{\kappa z}{\theta_*} \frac{\partial \bar{\theta}}{\partial z} \quad (1)$$

and

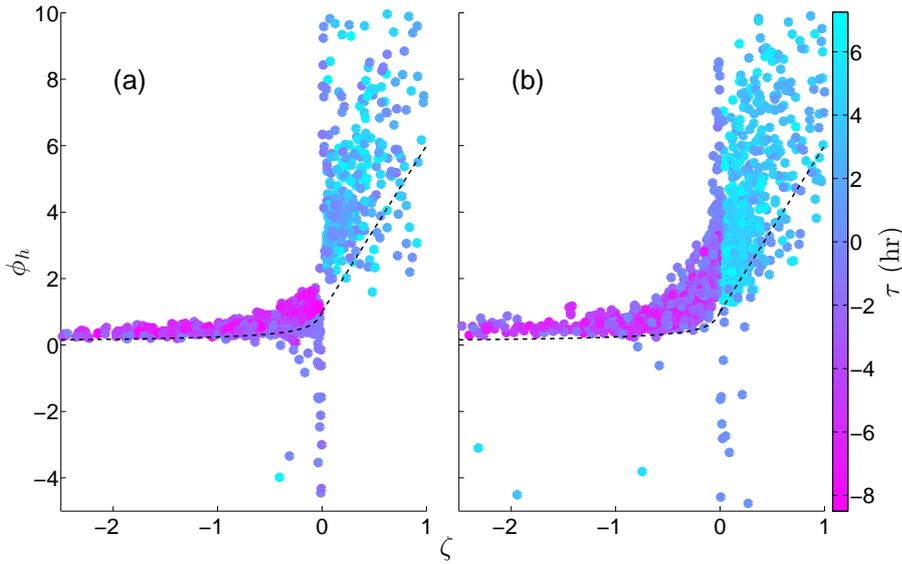
$$\zeta = \frac{z - d_0}{L}, \quad (2)$$

where  $\kappa = 0.4$  is the von Kármán constant,  $\bar{\theta}$  is the mean potential temperature,  $\theta_* = -w'\theta'_0/u_*$  is the scaling temperature,  $z$  is the height above the surface,  $d_0$  is the displacement height, which is assumed to be zero at both sites, and  $L$  is the Obukhov length, defined as:

$$L = \frac{-u_*^3}{\kappa \frac{g}{\theta_0} w'\theta'_0}, \quad (3)$$

where  $u_*$  is the friction velocity,  $\bar{\theta}_0$  is the mean potential temperature of air at the surface,  $g$  is acceleration due to gravity and  $w'\theta'_0$  is the surface kinematic heat flux.

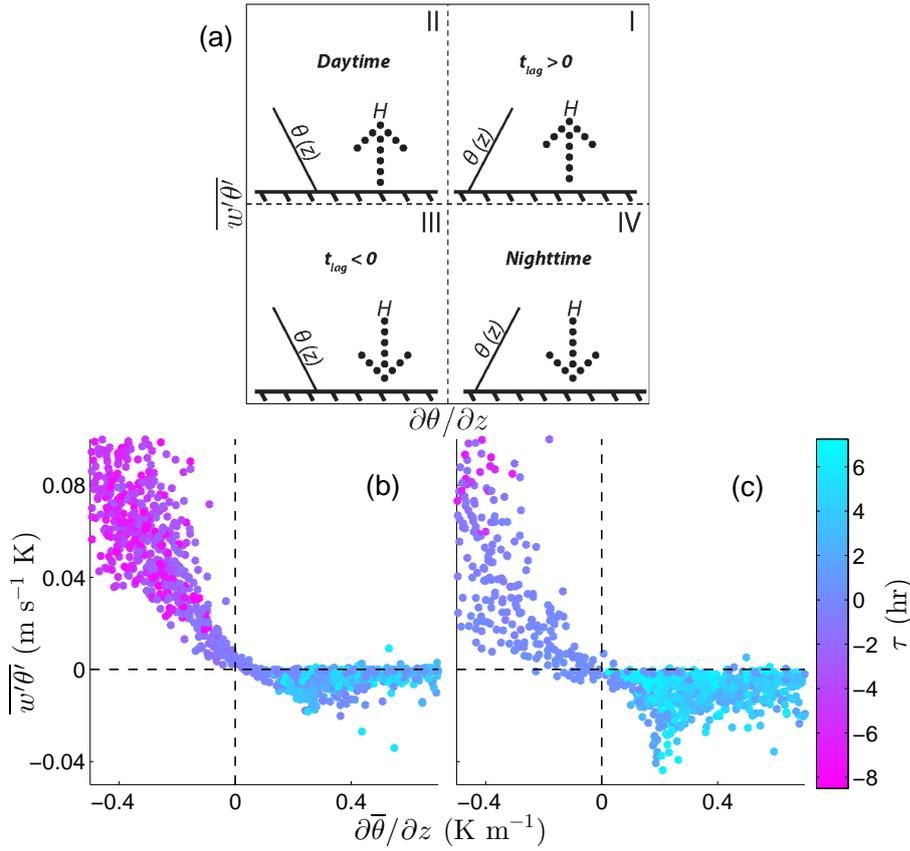
For moderately unstable conditions ( $-2.5 < \zeta \lesssim -0.2$ ), both sites scale quite well and  $\phi_h$  is only slightly larger than the empirical formulation recommended by Dyer and Hicks (1970), which is indicated by the dashed black line. For moderately stable conditions ( $0.2 \lesssim \zeta < 1$ ), the scatter is large at both sites. A trend is visible but it is less well defined and the slope is much steeper than the Dyer formulation, suggesting that an alternate formulation of  $\phi_h$  may be more appropriate. Under near-neutral conditions ( $-0.1 \lesssim \zeta \lesssim 0.1$ ), an asymptotic behaviour with large positive and negative values is observed. This behaviour is due to  $H$  being in the denominator of  $\phi_h$  via  $\theta_*$  (Eq. 1). The negative values of  $\phi_h$  indicate that the local heat flux is counter-gradient. Theoretically, this regime corresponds to the classical neutrally stratified surface layer where  $\theta_*$  is no longer a relevant scaling variable. However, neutral scaling does not apply during this transition either. Non-local effects become important and the local temperature gradient is a poor indicator of the local heat flux.



**Fig. 4** The non-dimensional temperature gradient ( $\phi_h$ ) plotted as a function of stability ( $\zeta$ ) at 2 m for Playa (a) and Sagebrush (b). The markers are experimental data from 8.5 hours before net-radiative sunset ( $\tau = 0$ ) to 7 hours after. The dashed line is the empirical form of  $\phi_h$  recommended by Dyer and Hicks (1970).

258 To explore the counter-gradient phenomena, quadrant analysis of the kinematic  
 259 sensible heat flux ( $\overline{w'\theta'}$ ) and potential temperature gradient ( $\partial\theta/\partial z$ ) at 2 m is used  
 260 (Fig. 5). Physically, Quadrant II corresponds to typical afternoon conditions where  
 261 the heat flux is positive and  $\partial\theta/\partial z$  is negative. The curve in Quadrant II at Playa  
 262 is relatively linear and steep with minimal fluctuations, indicating reasonable flux-  
 263 gradient behaviour. At Sagebrush, the flux weakens substantially while the unstable  
 264 temperature gradient remains relatively strong, indicating that the turbulent diffu-  
 265 sivity, defined as  $K_h = \overline{w'\theta'}/(\partial\theta/\partial z)$ , is relatively small and non-linear. Quadrant  
 266 IV corresponds to typical nighttime conditions where the heat flux is negative and  
 267 the gradient is positive. At the Sagebrush site, a maximum negative heat flux occurs  
 268 for  $\partial\theta/\partial z \approx 0.2$  indicating a maximization of mixing efficiency as the surface  
 269 layer stabilizes (Caughey et al., 1979). There is no clear evidence of this at the Playa  
 270 site. Quadrants I and III correspond to counter-gradient heat fluxes. In Quadrant I,  
 271  $H$  remains positive after the gradient has changed sign ( $t_{\text{lag}} > 0$ ). This behaviour de-  
 272 scribes all counter-gradient periods at the Playa site. In Quadrant III, the gradient  
 273 remains negative after  $H$  has changed sign ( $t_{\text{lag}} < 0$ ). This behaviour describes nearly  
 274 all transitional, counter-gradient situations at the 2-m Sagebrush site (note that some  
 275 Quadrant I behaviour occurs at Sagebrush long after transition) and is consistent with  
 276 the observations of Blay-Carreras et al. (2014).

277 Table 3 contains  $\tau_{\text{grad}}$ ,  $\tau_{\text{flux}}$  and  $t_{\text{lag}}$  for all days considered at 2 m for the Playa  
 278 and Sagebrush sites.  $\tau_{\text{grad}}$  shows some similarity between sites with much higher vari-  
 279 ability at the Playa site.  $\tau_{\text{flux}}$  is typically smaller for the Sagebrush site with higher  
 280 variability at Playa.  $t_{\text{lag}}$  is fairly consistent for both sites.  $|t_{\text{lag}}|$  is typically between 5



**Fig. 5** Quadrant analysis of the kinematic sensible heat flux and potential temperature gradient. **(a)** shows the qualitative behaviour of each quadrant. Quadrants II and IV correspond to daytime and nighttime conditions, respectively. Quadrants I and III correspond to counter-gradient heat fluxes. In Quadrant I the gradient reversal precedes the flux reversal; in Quadrant III the flux reversal precedes the gradient reversal. The 2-m Playa site **(b)** is dominated by  $t_{lag} > 0$  while the 2-m Sagebrush site **(c)** is dominated by  $t_{lag} < 0$ . Data is colored by  $\tau$ .

281 and 20 minutes for both sites with negative values associated with Sagebrush (Quad-  
 282 rant III from Fig. 5) and positive values associated with Playa (Quadrant I). The large  
 283 variability in  $\tau_{grad}$  and  $\tau_{flux}$  with the accompanying small variability associated with  
 284  $t_{lag}$  at Playa indicates that the counter-gradient behaviour is fairly consistent. That is,  
 285 regardless of when transition occurs, if  $\tau_{grad}$  is known,  $\tau_{flux}$  may be inferred and vice  
 286 versa. This is also the case at Sagebrush, but in addition,  $\tau_{flux}$ ,  $\tau_{grad}$  and  $t_{lag}$  may be  
 287 estimated from only  $t_{Rn=0}$ .

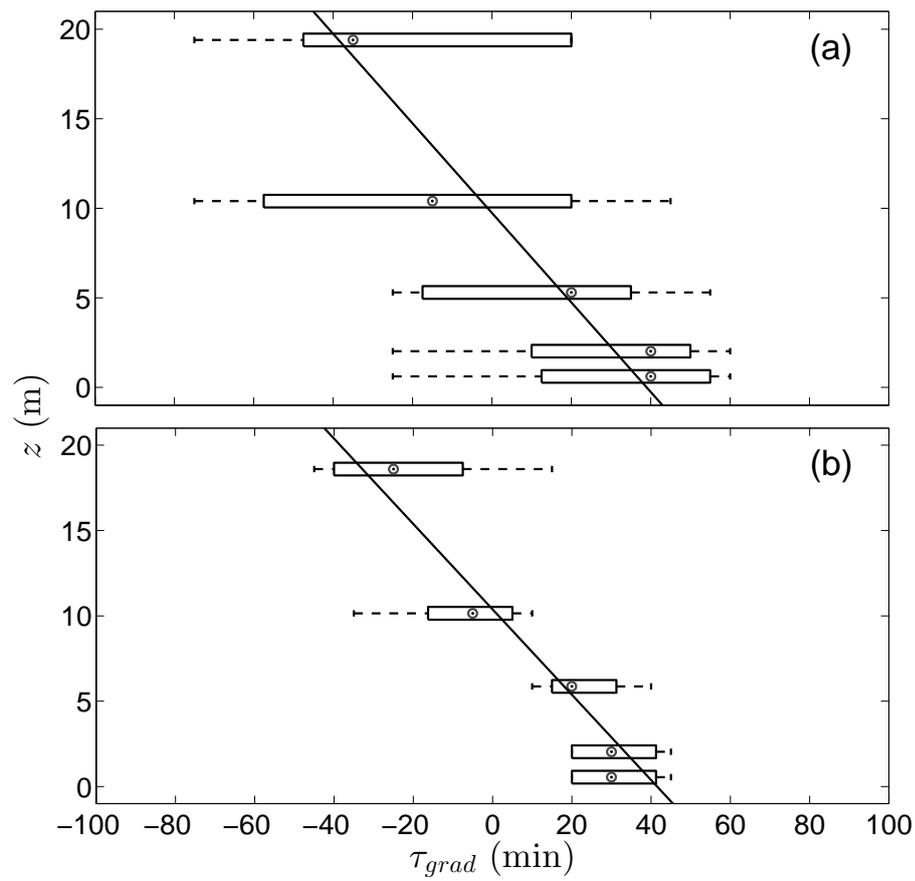
**Table 3** Counter-gradient timing variables for 2 m at Playa and Sagebrush.  $t_{R_n=0}$  is the local net-radiative sunset in local standard time (LST),  $\tau_{\text{grad}}$  is the time of the local temperature gradient reversal relative to  $t_{R_n=0}$ ,  $\tau_{\text{flux}}$  is the relative time of the heat flux reversal, and  $t_{\text{lag}}$  is the counter-gradient duration computed by subtracting  $\tau_{\text{grad}}$  from  $\tau_{\text{flux}}$ .

Site	Date	$t_{R_n=0}$ (LST)	$\tau_{\text{grad}}$ (min)	$\tau_{\text{flux}}$ (min)	$t_{\text{lag}}$ (min)
Playa	7 Oct '12	1640	45	65	20
	14 Oct '12	1650	35	40	5
	15 Oct '12	1650	10	20	10
	17 Oct '12	1630	60	70	10
	18 Oct '12	1630	55	70	15
	19 Oct '12	1635	10	20	10
	20 Oct '12	1640	-25	0	25
	21 Oct '12	1600	45	70	25
Sagebrush	28 Sept '12	1710	40	30	-10
	29 Sept '12	1715	20	15	-5
	1 Oct '12	1700	45	30	-15
	2 Oct '12	1710	25	20	-5
	3 Oct '12	1710	30	20	-10
	4 Oct '12	1710	30	25	-5
	6 Oct '12	1700	45	35	-10
	7 Oct '12	1700	45	40	-5
	8 Oct '12	1700	25	35	10
	9 Oct '12	1645	20	15	-5
	12 May '13	1835	20	15	-5
	24 May '13	1835	30	20	-10
	30 May '13	1850	20	20	0

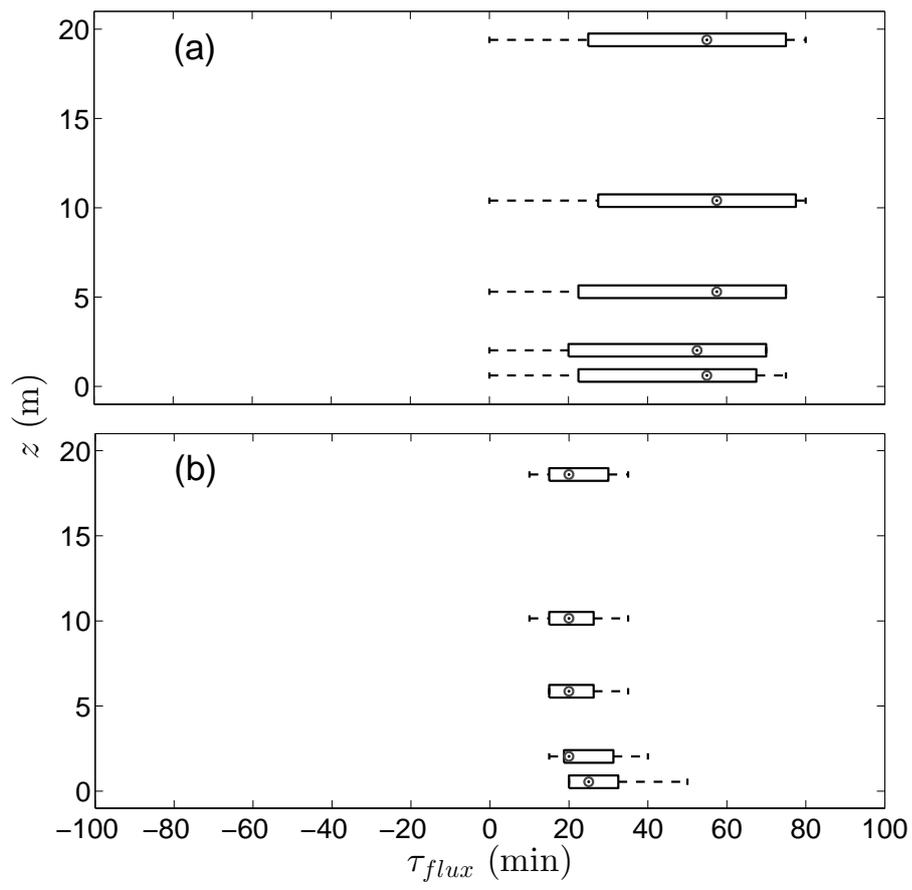
288 Box plots are used to illustrate  $\tau_{\text{grad}}$ ,  $\tau_{\text{flux}}$  and  $t_{\text{lag}}$  for all heights across all days  
 289 considered (Fig. 6 - 8). First considering  $\tau_{\text{grad}}$ , the variability is smaller at Sagebrush,  
 290 but the median time of gradient reversal is approximately constant between sites for  
 291 a given height. Furthermore, gradient reversal is a top-down phenomena with a slope  
 292 of

$$\frac{\partial \tau_{\text{grad}}}{\partial z} \approx -4 \text{ min m}^{-1} \quad (4)$$

293 at both sites, indicating that within the context of this study, gradient reversal is top-  
 294 down and site independent.



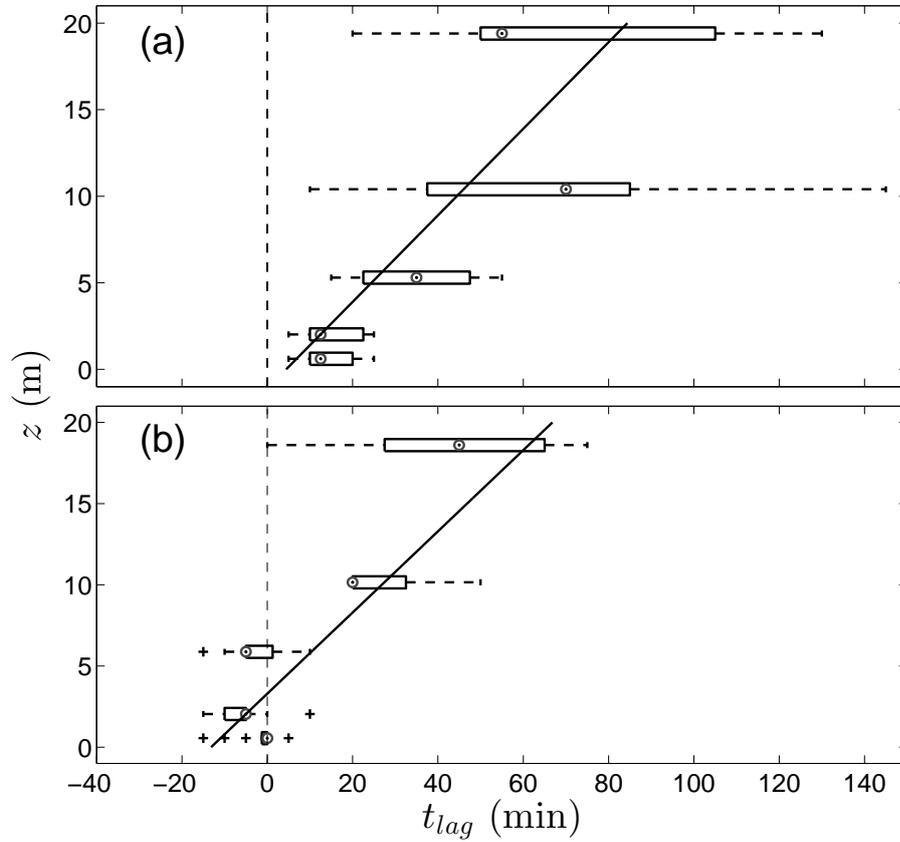
**Fig. 6** Box plots of gradient reversal time  $\tau_{grad}$  for Playa (a) and Sagebrush (b). The target within the box represents the median value, the left and right walls of the box represent the first and third quartiles and the whiskers represent data that fall within 1.5 times the interquartile range (IQR) of the nearest box wall. Any markers beyond the whiskers represent individual outliers. The solid line is a linear fit of the median values based on Eq. 4.



**Fig. 7** Box plots of the heat flux reversal time  $\tau_{flux}$  for Playa (a) and Sagebrush (b). The target within the box represents the median value, the left and right walls of the box represent the first and third quartiles and the whiskers represent data that fall within 1.5 times the interquartile range (IQR) of the nearest box wall. Any markers beyond the whiskers represent individual outliers. The flux reversal occurs nearly simultaneously at both sites, with the Playa reversal occurring later than that of Sagebrush.

295 Next,  $\tau_{flux}$  (Fig. 7) is considered. Again, the variability at Playa is quite large  
 296 but invariant across all heights. When individual days are considered (not shown),  
 297 the flux reversal occurs nearly simultaneously at all heights. Thus, the variability  
 298 in Fig 7a is predominantly due to the relatively weak correlation between the net-  
 299 radiative sunset and flux reversal. The median flux reversal at Playa typically occurs  
 300 30 - 40 minutes later than at Sagebrush. Unlike the gradient reversal, the flux reversal  
 301 is strongly site-dependent but independent of height. This is counter to what Caughey  
 302 and Kaimal (1977) reported, where they observed the flux to change sign from top  
 303 to bottom over a larger height range than measured in the present experiment. Given  
 304 this information, we hypothesize that  $t_{lag}(\Delta z)$  may be approximated near the surface  
 305 with only  $t_{lag}$  at a single height by

$$t_{lag}(\Delta z) \approx -\frac{\partial \tau_{grad}}{\partial z} \Delta z - (\tau_{grad,z} - \tau_{flux,z}) \quad (5)$$



**Fig. 8** Box plots of  $t_{lag}$  for Playa (a) and Sagebrush (b). The solid line is calculated from Eq. 4 and 5 with the 2-m values of  $\tau_{flux}$  and  $\tau_{grad}$ . The 2-m  $|t_{lag}|$  is smaller than expected and is likely influenced by canopy effects.

306 Fig. 8 shows  $t_{\text{lag}}(z)$  with the solid line representing Eq. 4 and 5, calculated from  
 307  $\tau_{\text{grad}}$  and  $\tau_{\text{flux}}$  at 2 m. The uncertainty in  $t_{\text{lag}}$  grows with height at both sites, due to the  
 308 weak temperature gradients aloft, but Eq. 5 generally captures the trend and typically  
 309 falls within the interquartile range (IQR) of the box plots (marked by the limits of the  
 310 the box).

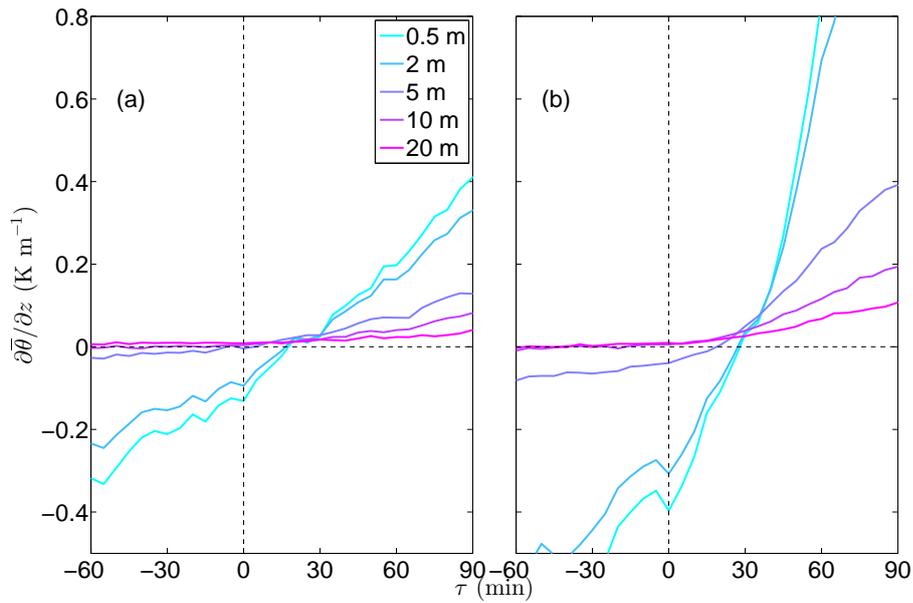


### 311 3.3 Temperature Gradient Evolution and Flux Divergence

312 To understand the differing counter-gradient behaviour at the Playa and Sagebrush  
 313 sites, the temperature gradient and heat flux evolution are considered independently.  
 314 First, the temperature gradient evolution is discussed followed by the heat flux evo-  
 315 lution in Sect. 3.4.

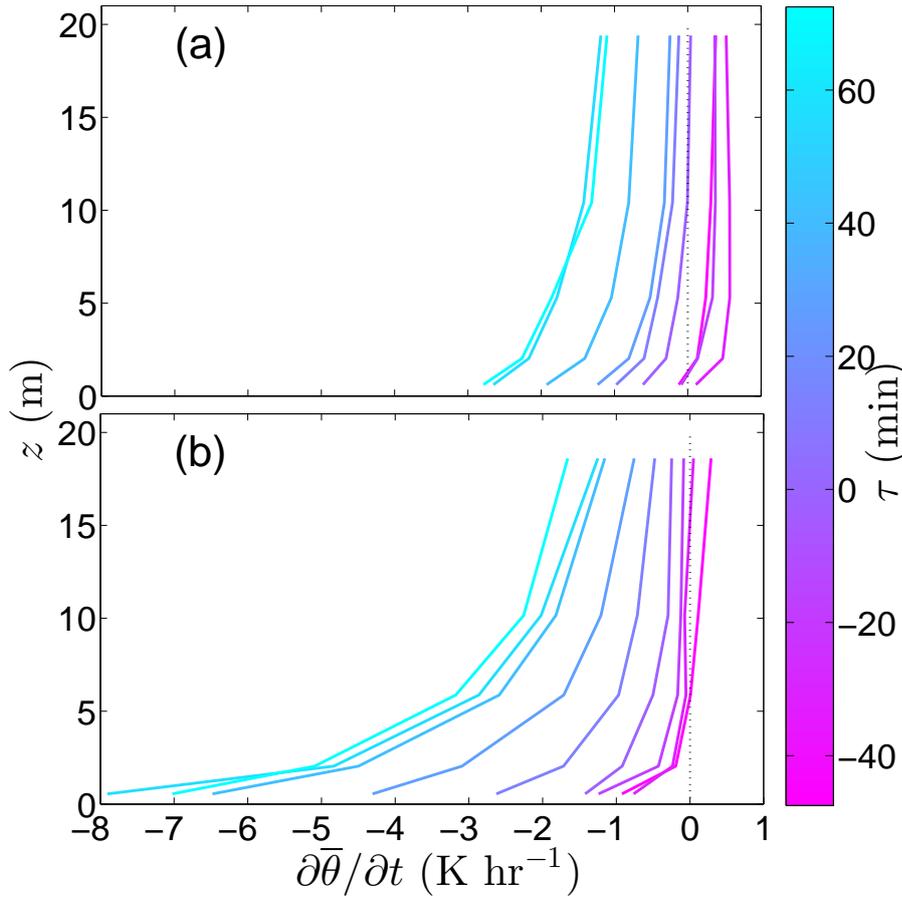
316 The mean temperature gradient evolution is shown for both sites in Fig. 9. As  
 317 expected, the relative strength of the gradients are much stronger at Sagebrush for  
 318 both before and after net-radiative sunset. The gradients at 10 and 20 m at Sagebrush  
 319 are quasi-neutral and slowly begin to stabilize slightly before  $\tau = 0$ . This is also  
 320 the case at the Playa site, however at Playa, the 5-m gradient is also quasi-neutral  
 321 before stabilization occurs. At both sites, the weak gradients aloft cross zero before  
 322 the stronger, near-surface gradients at 0.5 and 2 m. Additionally, there is never a  
 323 period where all of the gradients are near-neutral. In fact, at both sites there appears  
 324 to be a brief period where all of the gradients are approximately equal and weakly  
 325 stable. This abrupt transition through zero supports the modeling work of Jiménez  
 326 et al. (2012) and observations of Acevedo and Fitzjarrald (2001) where the transition  
 327 through neutral stratification happens abruptly.





**Fig. 9** Time series of the mean potential temperature gradient for all heights at the Playa (a) and Sagebrush sites (b).  $\tau = 0$  is the net-radiative sunset. The top-down gradient reversal times are  $\tau_{\text{grad,Playa}}(z = 0.5, 2, 5, 10, 20 \text{ m}) = 15, 15, 0, -40, -195 \text{ min}$  and  $\tau_{\text{grad,Sagebrush}}(z = 0.5, 2, 5, 10, 20 \text{ m}) = 25, 25, 15, -20, -40 \text{ min}$ .

328 The weak gradients aloft help to explain why the gradient reversal occurs from  
 329 the top down. Temperature tendency profiles are shown in Fig. 10. Once again, the  
 330 magnitude of the cooling at Sagebrush is much larger than that at Playa. At both  
 331 sites the cooling is largest and initiated near the ground. The stabilization in the layer  
 332 is proportional to the slope of the temperature tendency profile. Therefore, while  
 333 stabilization is occurring most rapidly near the surface, the very weak gradients aloft  
 334 are able to change sign with a very small amount of stabilization, resulting in the  
 335 observed top-down behavior.



**Fig. 10** Profiles of  $\partial\bar{\theta}/\partial t$  at Playa (a) and Sagebrush (b). To the right of the dashed line, heating is occurring and to the left of the dashed line, cooling is. A 15 minute bin-average was applied to the profiles.

336 To understand the mechanism of the cooling, the simplified temperature tendency  
 337 equation is considered (e.g. Acevedo and Fitzjarrald, 2001):

$$\underbrace{\frac{\partial\bar{\theta}}{\partial t}}_{\text{I}} = -\underbrace{\frac{\partial\bar{w}'\theta'}{\partial z}}_{\text{II}} + \underbrace{ADV_{\theta} - \frac{\partial R_n}{\partial z}}_{\text{III}} \quad (6)$$

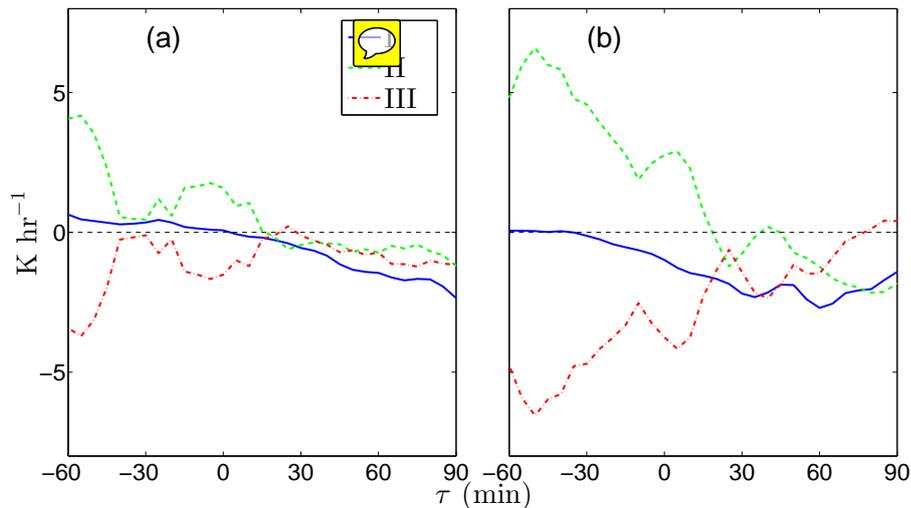
338 where term I is the rate of change in temperature, II is the sensible heat flux diver-  
 339 gence, and III, which is computed as the residual, is the sum of all advective effects  
 340 ( $ADV_{\theta}$ ) and the radiative flux divergence ( $\frac{\partial R_n}{\partial z}$ ). It is expected that early in the EET,  
 341 temperature advection will be relatively small and gradually increase in importance  
 342 as the size of the mixing eddies decreases and surface heterogeneities are amplified  
 343 (Acevedo and Fitzjarrald, 2001, 2003).

344 The terms of Eq. 6 are plotted for 5 m at both sites in Fig. 11. When terms II or  
 345 III are greater than zero, the term is warming the layer; when they are less than zero,





346 the term is cooling the layer. At the Playa site, the heat flux divergence begins to cool  
 347 the layer at approximately the same time term I becomes negative. That is, there is  
 348 a heat flux convergence in the layer until the layer begins to cool ( $I < 0$ ), at which  
 349 point the convergence gradually shifts to a divergence. The maximum cooling rate  
 350 is then in approximate agreement with the largest heat flux divergence, in agreement  
 351 with the findings of Acevedo and Fitzjarrald (2001). Term I shows no clear minimum  
 352 (or maximum cooling rate) over the time range shown. This is due to the ensembled  
 353 nature of the data. When individual days are considered (not shown), often, there is  
 354 an abrupt decrease in the time series of  $T$  followed by an inflection point, indicating  
 355 the mechanical turbulence has decayed (Fitzjarrald and Lala, 1989).

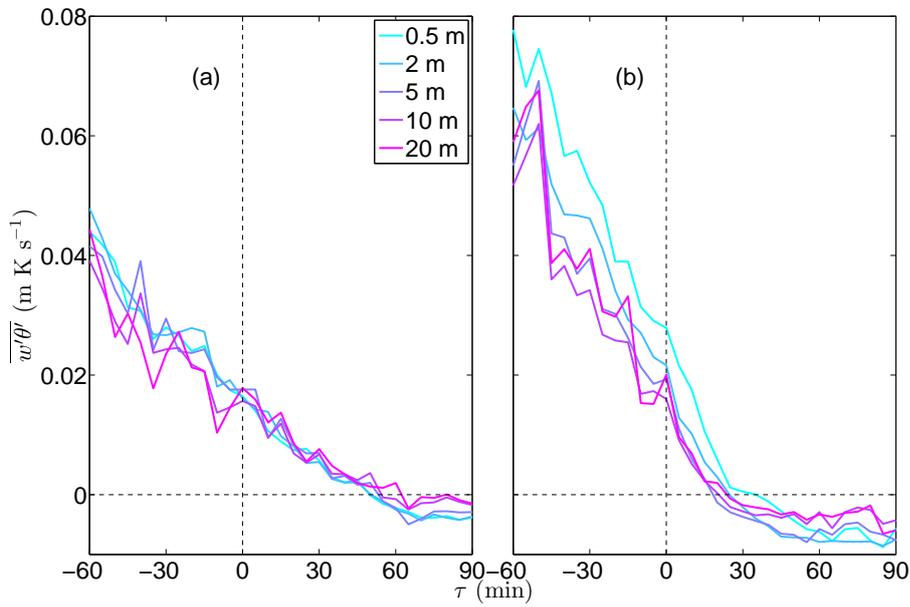


**Fig. 11** Terms of the simplified temperature tendency equation (Eq. 6) for 5 m at the Playa (a) and Sagebrush (b) sites. Term I is the local time change of temperature, term II is the sensible heat flux divergence and term III is the cumulative effect of advection and radiative flux divergence. Term III is computed as a residual. A 25 min running average is used to smooth the ensembled data.

356 At the Sagebrush site, the magnitudes of term I and II are much larger. This is  
 357 due to the stronger heat fluxes and temperature gradients at Sagebrush. The air begins  
 358 to cool (term I) significantly before the heat flux convergence (term II) shifts to  
 359 a divergence, with much of the cooling occurring in the presence of a weak sensible  
 360 heat flux convergence. This is counter to the findings of Acevedo and Fitzjarrald  
 361 (2001), where the maximum cooling rate was found to coincide with the maximum  
 362 heat flux divergence. Considering the relative homogeneity of both sites, and presumably  
 363 weak advection, it appears that radiative flux divergence becomes important earlier  
 364 in the EET than previously thought (Acevedo and Fitzjarrald, 2001) and should  
 365 not be neglected in models. When other tower heights are considered (not shown),  
 366 the observed behaviour is very similar to the 5-m level, the only difference being that  
 367 the relative magnitude of the terms decreases with height.

## 368 3.4 Heat Flux Evolution

369 Here, the sensible heat flux evolution is considered. The mean sensible heat flux evolution  
 370 is shown in Fig. 12. At the Playa site, the decay is gradual with a small amount  
 371 of variability (heat flux convergence) between levels. The heat flux at all levels re-  
 372 verses direction at approximately the same time and a weak heat flux divergence  
 373 gradually develops through the evening transition. At the Sagebrush site, the decay is  
 374 much more abrupt, with a large heat flux convergence occurring in the lower levels.  
 375 The levels above 0.5 m reverse direction at approximately the same time with the  
 376 0.5-m flux crossing 5–10 minutes later. This is likely due to shielding from the sur-  
 377 rounding vegetation. Later in the evening transition, the negative fluxes at Sagebrush  
 378 become stronger than those observed at Playa with a sensible heat flux divergence  
 379 developing around  $\tau = 45$  min.

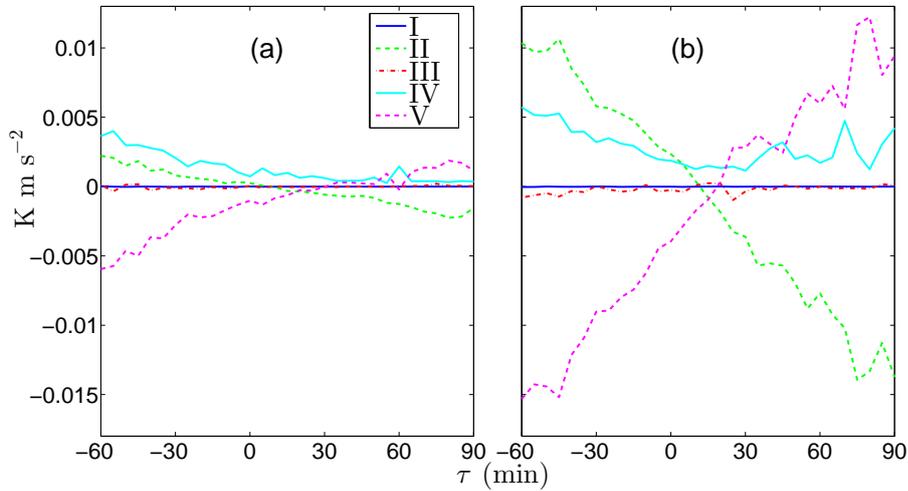


**Fig. 12** Time series of the ensemble sensible heat flux for all heights at the Playa (a) and Sagebrush sites (b).

380 Similar to the temperature gradient evolution, the heat flux evolution is discussed  
 381 in terms of its simplified tendency equation. Here we used the simplified budget for  
 382 horizontally homogeneous terrain from Wyngaard et al. (1972):

$$\underbrace{\frac{\partial \overline{w'\theta'}}{\partial t}}_{\text{I}} = \underbrace{-\overline{w'^2}}_{\text{II}} \frac{\partial \overline{\theta}}{\partial z} - \underbrace{\frac{\partial (\overline{w'^2 \theta'})}{\partial z}}_{\text{III}} + \underbrace{\frac{g}{\overline{\theta}} \overline{\theta'^2}}_{\text{IV}} - \underbrace{\frac{1}{\rho} \overline{\theta' \frac{\partial p'}{\partial z}}}_{\text{V}} \quad (7)$$

383 where term I is local storage, II is gradient production, III is the turbulent transport, IV  
 384 is buoyant production and V is the pressure destruction. Subsidence, advection, and  
 385 molecular dissipation are assumed to be small. Terms I – IV are computed directly  
 386 and term V is computed as a residual. The mean terms at 5 m are shown in Fig.  
 387 13. Again, the relative magnitude of the terms is much larger at the Sagebrush site.  
 388 This is due to the stonger temperature gradients and increased surface roughness at  
 389 Sagebrush. For  $\tau < 0$ , the buoyant production term (IV) is more important than the  
 390 gradient production (II) at the Playa site, while the opposite is true at the Sagebrush  
 391 site. By definition, IV is always positive, meaning that IV will always delay the decay  
 392 of the sensible heat flux. Term II has the opposite sign of the local gradient, meaning  
 393 that II will force the heat flux to decay in consonance with the local gradient reversal.  
 394 The turbulent transport (III) is relatively noisy but insignificant at both sites. Term  
 395 V, which is computed as a residual, is quite large at the Sagebrush site and becomes  
 396 a source of sensible heat flux later into the EET, indicating that advection is likely  
 397 important during this process.

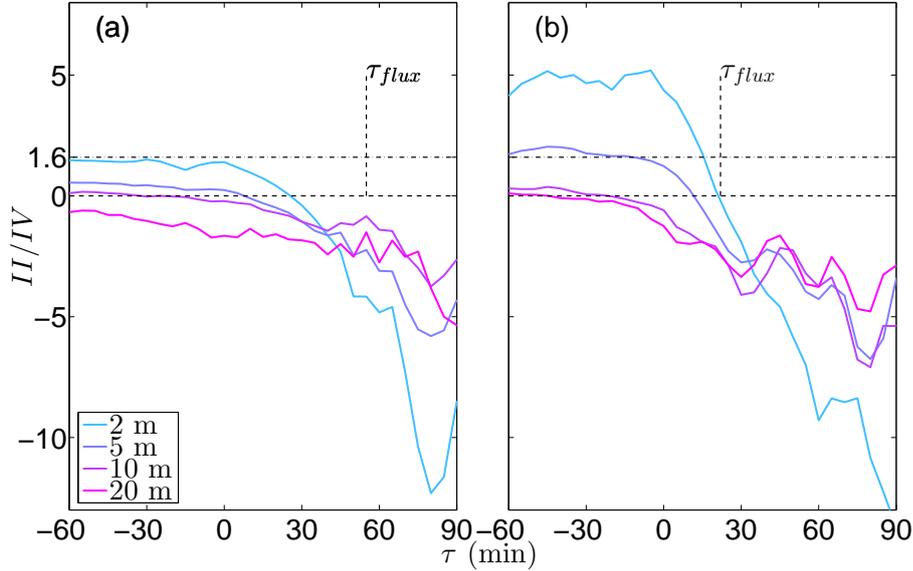


**Fig. 13** Terms in the flux tendency equation (Eq. 7) plotted at 5 m for the Playa (a) and Sagebrush (b) sites. Term I is local storage of sensible heat, II is gradient production, III is the turbulent transport, IV is buoyant production and V is the pressure destruction.

398 We hypothesize that the relative importance of terms II and IV leading up to  
 399 the flux reversal play a fundamental role in the observed counter-gradient behaviour.  
 400 When buoyant production (IV) is substantially larger than gradient production (II),  
 401 we expect that the decay will be delayed and the positive heat flux will persist in the  
 402 presence of a stable temperature gradient ( $t_{lag} > 0$ ). Conversely, when term II is more  
 403 important than term IV we expect the behaviour observed by Blay-Carreras et al.  
 404 (2014). That is the heat flux reversal occurs in the presence of a weakly unstable tem-  
 405 perature gradient ( $t_{lag} < 0$ ). The reason for the flux reversal occurring before the gra-  
 406 dent reversal, rather than at the same time is related to the inverse Rayleigh-Bernard



407 problem, where the weak, unstable temperature gradients become insufficient to over-  
 408 come viscous forces. The behaviour is discussed at length in Blay-Carreras et al.  
 409 (2014).



**Fig. 14** Time series of the ratios of gradient (II) to buoyant (IV) production from the heat flux tendency equation (Eq. 7) for the Playa (a) and Sagebrush (b) sites. A 15 minute running averages is applied to smooth the data and the heat flux reversal is marked by  $\tau_{flux}$  at both sites. The horizontal line at  $II/IV = 1.6$  is a critical ratio. For pre-transition ratios above this, the counter-gradient flux occurs when the flux reversal precedes the gradient reversal ( $t_{lag} < 0$ ). For pre-transition ratios below 1.6, the counter-gradient flux occurs when the gradient reversal precedes the flux reversal ( $t_{lag} > 0$ ). The counter-gradient duration is proportional to the difference between the pre-transition ratio and 1.6.

410 To test this hypothesis, the ratio  $II/IV$  is plotted for all heights in Fig. 14. For a  
 411 prolonged period before flux reversal occurs there is a period at all locations where  
 412 the ratio  $II/IV$  is approximately constant. This pre-transition ratio ( $II/IV|_{PT}$ ) deter-  
 413 mines the type and duration of the counter-gradient behavior, where  $II/IV|_{PT} \approx 1.6$   
 414 is a critical value. For  $II/IV|_{PT} > 1.6$ ,  $t_{lag}$  is less than zero (the behaviour observed  
 415 by Blay-Carreras et al. (2014)). For  $II/IV|_{PT} < 1.6$ ,  $t_{lag} > 0$ . The counter-gradient  
 416 duration is proportional to the magnitude of the difference between the observed pre-  
 417 transition ratio and 1.6. That is, the further  $II/IV|_{PT}$  deviates from 1.6, the larger  $|t_{lag}|$   
 418 becomes. This is apparent for 2 m at the Playa site and 5 m at the Sagebrush site.  
 419 Both locations display small magnitudes of  $t_{lag}$  with the Playa 2-m location being  
 420 weakly positive and the 5-m Sagebrush location being weakly negative. Table 4 gives  
 421  $II/IV|_{PT}$  as well as the observed mean lag time  $\overline{t_{lag}}$  for all heights at both sites.

**Table 4** Pre-transition ratios of the gradient (term II) to buoyant production (term IV) terms in the heat flux tendency equation (Eq. 7) at all heights for Playa and Sagebrush, where subscript PT denotes pre-transition. The ratio  $\text{II/IV}|_{PT}$  is a critical value that determines whether  $t_{\text{lag}}$  will be positive or negative.

Site	$z$ (m)	$\text{II/IV} _{PT}$	$\bar{t}_{\text{lag}}$ (min)
Playa	2	1.5	15
	5	0.5	35
	10	0.1	68
	20	-1	71
Sagebrush	2	5	-6
	5	1.7	-2
	10	0.4	28
	20	0.1	44

#### 4 Conclusions

Data from the MATERHORN Program were used to study near-surface, sensible heat flux and temperature gradient profiles through the early evening transition (EET) over two contrasting sites. The main conclusions are:

1. During the EET, there is typically a lag between the time of local temperature gradient reversal and local heat flux reversal, leading to a period of counter-gradient heat flux. The gradient reversal may precede the flux reversal ( $t_{\text{lag}} > 0$ ) and vice-versa ( $t_{\text{lag}} < 0$ ). The duration and type of counter-gradient behavior is strongly height and site dependent.
2. The gradient reversal propagates from the top down at a rate of approximately  $4 \text{ min m}^{-1}$  and displays site independence (Fig 6). The top-down behaviour is due to very weak gradients aloft that reverse with a small amount of stabilization (Fig. 9 and 10).
3. The heat flux reversal occurs nearly simultaneously at all heights but displays site dependence, with the reversal at the Playa site occurring later than the Sagebrush site.
4. Based on the top-down gradient reversal and simultaneous flux reversal, the counter-gradient behavior can be estimated as a function of height if the gradient and flux reversal are known at a single location (Eq. 5).
5. Radiative flux divergence may become important earlier in the EET than previously thought. **The radiative cooling behaviour is particularly at the Sagebrush site (Fig. 11).**
6. The type and duration of the counter-gradient behaviour can be predicted by comparing the relative strength of the gradient production to buoyant production terms in the heat flux tendency equations. There is a critical ratio of approximately 1.6. If the ratio is greater than 1.6, the flux reversal is likely to precede the gradient reversal ( $t_{\text{lag}} < 0$ ). If the ratio falls below 1.6, the opposite is true. The counter-gradient duration is proportional to the difference between the ratio and 1.6.
7. Future work should include a similar analysis over differing subsurface and surface types to verify the general applicability of the results.

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