#### Predicting Snow-to-Liquid Ratio in the Mountains of the Western United States

Peter G. Veals<sup>1</sup>, Michael Pletcher<sup>1</sup>, Andrew J. Schwartz<sup>2</sup>, Randy J. Chase<sup>4</sup>, Kirstin Harnos<sup>3</sup>, Jimmy Correia<sup>3</sup>, Michael E. Wessler<sup>5</sup>, and W. James Steenburgh<sup>1</sup>

<sup>1</sup>Department of Atmospheric Sciences, University of Utah, Salt Lake City, UT <sup>2</sup>Central Sierra Snow Laboratory, University of California Berkeley, Soda Springs, CA <sup>3</sup>NOAA/NWS/Weather Prediction Center, College Park, MD <sup>4</sup>Cooperative Institute for Research in the Atmosphere, Colorado State University, Fort Collins, CO

<sup>5</sup>National Weather Service Western Region Headquarters, Salt Lake City, UT

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*Corresponding author address*: Peter G. Veals, Department of Atmospheric Sciences, University of Utah, 135 South 1460 East Room 819, Salt Lake City, UT, 84112. E-mail: peter.veals@utah.edu

#### Abstract

2 The snow-to-liquid-ratio (SLR) and its inverse, snow density, are crucial for forecasting 3 snowfall in numerical weather prediction models and for estimating snow-water-equivalent (SWE) 4 on the ground using remote sensing. SLR also varies widely in space and time, making it 5 challenging to forecast accurately, particularly in the heterogenous terrain and climate of the 6 mountains of the western United States. This study utilizes high quality, manually-collected 7 measurements of new snowfall and new SWE from 14 mountainous sites across the region to build 8 multiple linear regression (MLR) and random forecast (RF) algorithms to predict SLR as a 9 function of atmospheric variables.

When an MLR algorithm is trained on a simple combination of wind speed and temperature from either the ERA5 reanalysis, the GFS, or the HRRR, it predicts SLR with considerably more skill than existing SLR prediction methods. When a more extensive set of variables is considered, the skill improves further.

The variables used to achieve the most skillful prediction of SLR are temperature, wind speed, relative humidity, specific humidity, maximum solar altitude angle during the observing period, CAPE, and HRRR QPF. When an RF algorithm is trained using these variables, it can predict SLR with  $R^2$ =0.43 and MAE=2.94. For the existing SLR prediction techniques currently used in operations,  $R^2$  ranges from 0.04 to 0.23 and MAE ranges from to 4.01 to 9.45. Therefore the algorithms built in this paper can drastically improve SLR prediction over the mountains of the western US.

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#### 32 **1. Introduction**

Snowstorms in the western United States are essential for providing the water that sustains
life, agriculture, industry, and hydropower in the region (Diffenbaugh et al. 2015; Li et al. 2017;
Hagenstad et al. 2018). They can also pose a serious hazard to life, property, and commerce
(Blattenberger and Fowles 1995; Spencer 2009; Black and Mote 2015; Seeherman and Liu 2015).
Therefore, the accurate prediction and estimation of snowfall is essential, yet it remains difficult
and error-prone.

39 Freshly-fallen snow is mostly air, with a snow-to-liquid ratio (SLR) ranging from 2:1 or 40 lower to as high as 100:1 (e.g. Judson and Doesken 2000; Roebber et al. 2003). Consequently, for 41 a given amount of liquid precipitation, the corresponding amount of snow can vary widely. This 42 is a problem because contemporary snowfall prediction typically involves converting the liquid 43 precipitation equivalent forecast that is directly predicted by numerical forecast systems (referred 44 to as a quantitative precipitation forecast or QPF) to snow amount using an SLR (Alcott and 45 Steenburgh 2000; Roebber et al. 2003; Byun et al. 2008; Pletcher et al. 2024). Conversely, for a 46 given amount of snow, the corresponding amount of liquid can vary widely, posing a challenge 47 for snow surveys and analyses that ingest the depth of new snowfall, yet seek to estimate the 48 amount of liquid that has fallen (e.g., Raleigh and Small 2017). Therefore, the accurate prediction 49 of SLR is essential to properly forecast snowfall and to measure snow-water-equivalent (SWE) 50 from snow depth.

51 There are many factors that influence SLR. For example, the ice crystal habit can affect 52 how densely crystals will pack together, increased riming of crystals removes some air space, high 53 winds and the resulting ice crystal collisions can remove crystal branches causing tighter packing 54 of crystals, natural compaction of snow under its own weight can densify it, and melting or rainfall 55 can fill the spaces between crystals with water (Pomeroy and Brun 2001; Roebber et al. 2003; 56 Baxter et al. 2005; Byun et al. 2008; Alcott and Steenburgh 2010; Steenburgh 2023). All of these 57 processes are not explicitly or reliably accounted for in operational numerical forecast systems, 58 motivating the need for other approaches to predict SLR.

Although SLR is known to vary, the simplest approach is to assume a fixed SLR. In the past, and even for convenience today, an SLR of 10:1 was/is sometimes assumed. The 10:1 rule was based on the findings of a single study conducted in eastern Canada that found a median SLR of 10 (Potter 1965; Roebber et al. 2003). However, not only is an SLR of 13 more appropriate for most of the US (Baxter et al. 2005), using a fixed SLR can be problematic over regions like the
western US where significant intra- and inter-storm SLR variability occurs (Judson and Doesken
2000; Alcott and Steenburgh 2010; Pletcher et al. 2024).

To enable variable SLR prediction, the National Weather Service (NWS) National Blend 66 of Models (NBM; Craven et al. 2020) uses four SLR methods referred to as 850-700-mb 67 68 Thickness, Cobb, MaxTaloft, and Roebber in NWS training resources (Craven et al. 2020; The 69 COMET Program 2023). The methods used and their weighting varies depending on the numerical 70 modeling systems. The 850–700-mb Thickness method is used only for global ensembles and the 71 data it requires would be subterranean at many western US sites, so it is not considered here. The 72 Cobb method derives from Cobb and Waldstreicher (2005), although it has been revised several 73 times. The Cobb method in NBM version 4.1 first identifies the maximum upward vertical 74 velocity (UVV) contained in a cloudy layer, then calculates a weighting factor based on UVV and layer thickness, then applies a temperature-SLR relation to each model layer, and finally computes 75 76 a weighted sum of the SLR from all model layers (The COMET program 2023). Most recently in 77 NBM v4.2, a melting factor was added to adjust SLR based on the surface wet-bulb temperature 78 and 1-h precipitation rate in marginal snow environments (Rudack et al. 2024).

The MaxTaloft SLR method is based on data from Alaska and uses a 5<sup>th</sup> degree polynomial
 to calculate SLR based on temperature:

 $SLR_{MaxTAloft} = 0.0000045 * T_{Max}^{5} + 0.0004432 * T_{Max}^{4} + 0.0130903 * T_{Max}^{3} + 0.0585968 * T_{Max}^{2} - 1.8150809 * T_{Max} + 5.9805722,$ (1)

81 where  $T_{Max}$  is the maximum temperature (°C) between 610 m AGL and 400 hPa (The 82 COMET Program 2023; Pletcher et al. 2024).

83 The Roebber method is derived from Roebber et al. (2003) who trained an artificial neural 84 network using snowfall observations from NWS sounding sites and input variables that include 85 monthly solar radiation, temperature and relative humidity at multiple levels, wind speed, and 6-h 86 SWE. The training data consisted primarily of data from the eastern two-thirds of the US (the only 87 western US sites were Great Falls, Lander, Salt Lake City, and Denver, all in in non-mountain areas of the western interior). The artificial neural network predicted SLR in three classes [heavy 88 (1:1 < ratio < 9:1), average  $(9:1 \le ratio \le 15:1)$ , and light (ratio > 15:1)], but was modified to 89 90 produce a deterministic SLR for the NBM (The COMET Program 2023).

91 The Kuchera method, although not used in the NBM, is often used by forecasters and 92 meteorological websites. As stated in Rosenow et al. (2023): "The so-called Kuchera method has 93 become commonplace in operational meteorology, including the NWS, despite having not been 94 formally published. This technique was created by performing a linear regression on snow depth 95 and liquid equivalent observations using the maximum temperature in a column below 500 96 hPa,  $T_{max}$ , as the sole predictor of SLR". The Kuchera algorithm is defined as:

$$SLR_{Kuchera} = \begin{cases} 12 + 2x (271.16 - T_{max}), T_{max} > 271.16\\ 12 + (271.16 - T_{max}), T_{max} \le 271.16 \end{cases}$$
(2)

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Other SLR methods, based on a single air temperature variable, abound. Van Cleave (2013) relies on 700 hPa temperature, and a method recently implemented in the HRRR model (Benjamin et al. 2021) uses the temperature in the lowest model layer. Byun et al. (2008) use the 2 m temperature.

There are also a number of subregional SLR methods, such as that developed by Hoopes et al. (2023) for the mountain ranges of southern Arizona using gridded SLR analyses derived from Broxton et al. (2019). SLR in this case, however, is based on the 24-h change in the total depth of the snowpack divided by the 24-h change in snowpack SWE, which is a problematic due to compaction of the pre-existing snowpack.

107 These legacy SLR methods have not been carefully evaluated over the western US. 108 However, Pletcher et al. (2024) compared the performance of the NBM SLR methods to a random 109 forest algorithm trained on local data at one western US site: Alta Ski Area in the Wasatch Range 110 of northern Utah. They found that the random-forest SLR algorithm produced substantially better 111 SLR forecasts than the NBM methods, suggesting that an algorithm based on high quality regional 112 observations might yield substantial forecast improvements for SLR over the western US.

One issue that affects nearly all of the SLR algorithms created to date is the paucity of high-quality snowfall and SWE (therefore SLR) observations from conventional meteorological networks like the NWS Cooperative Observer Program (COOP; Mehta 2023) and Automated Surface Observing Station (ASOS; NOAA 1998). Most of the datasets used to build these SLR algorithms use SWE from precipitation gauges, and when precipitation is falling as snow,

118 precipitation gauges suffer from a problem known as undercatch, whereby wind flowing up and 119 over the gauge orifice prevents many hydrometeors from falling into the gauge (Rasmussen et al. 120 2012). Undercatch is nonexistent during calm conditions, but grows with increasing wind speed. 121 MacDonald and Pomeroy (2007) showed that for just an 8 ms<sup>-1</sup> wind speed, an unshielded gauge 122 will only capture ~30% of the SWE that falls, and a gauge with an Alter shield will only capture 123  $\sim 60\%$  of the SWE that falls. There are also (2012) suggest that undercatch is likely even greater 124 that this, especially when the snowflakes have little riming. Compounding this issue, gauge SWE 125 amounts from the National Weather Service Cooperative Summary of the Day (COOP) do not 126 distinguish between SWE produced by snow or other precipitation types (e.g., ice pellets or liquid 127 precipitation), adding additional uncertainty to snowfall measurements.

128 Another issue affecting legacy SLR algorithms is the fact that many of them were trained 129 using observations mostly or completely from non-mountainous regions. Hydrometeor growth 130 over mountainous regions is heavily influenced by the regions of ascent over the windward slopes 131 of the terrain, with the majority of hydrometeor growth often happening <2 km above ground level (AGL) on these slopes. Storms in the interior ranges of the Western US also generally feature a 132 133 temperature profile that decreases monotonically with height (e.g., Geerts et al. 2015; Aikins et al. 134 2016; Friedrich et al. 2021). However, the dynamics of mountain waves, precipitation spillover, 135 and flow blocking can often make for complex and difficult-to-predict accumulation patterns that 136 can be far removed from the windward slopes (e.g., Neiman et al. 2002; Yuter et al. 2011; Geerts 137 et al. 2015; Veals et al. 2020). Over flatter regions like the eastern half of the US, midlatitude 138 cyclones generally produce the majority of cool-season precipitation. The hydrometeors in these 139 cyclones can see much of their growth occur >50 km away from their eventual accumulation 140 location, can be lofted along the way, and often originate 7 km or more AGL. They also often 141 feature complex temperature profiles, with warm layers and inversions (e.g., Lackmann and 142 Thompson 2019; Janiszeski et al. 2024). Yet these are general differences, with a broad spectrum 143 of atmospheric conditions possible for both flatland and mountain regions.

In this study, we develop algorithms to predict SLR over the western US using highquality, manually measured snowfall and SWE observations from 14 geographically and climatologically diverse mountain observing sites. In Section 2, we describe the characteristics of these sites and observations, the techniques used to generate SLR algorithms, and the methods employed for verification. Section 3 then examines the fidelity of these algorithms, which exhibit significant improvement relative to NBM SLR methods based on randomized testing, and
examines the role of the atmospheric variables in predicting SLR. Section 4 summarizes the main

151 conclusions, which illustrate the value of SLR algorithms based on high-quality regional data.

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## 153 **2. Data and Methods**

# 154 a. Snowfall Observations

155 Data were obtained from 14 sites across the western US (Fig. 1, Table 1) where an observer 156 takes manual observations of new snowfall and new SWE once or twice daily from a board that is 157 wiped clean after each observation and placed atop the snowpack. SWE is based on a sample 158 collected on the board by a coring tube and scale. This reduces errors due to undercatch, although 159 high-wind situations can still create representativeness errors in some circumstances. Twelve of 160 the observations come from snow-safety (i.e., avalanche mitigation) teams working for 161 departments of transportation on avalanche-prone highways or at ski resorts where avalanche 162 mitigation is frequently conducted. The remaining datasets are HLY, operated by an avalanche 163 forecaster at the Sawtooth Avalanche Center, and CSSL, the Central Sierra Snow Laboratory 164 (cssl.berkeley.edu). To mitigate the influence of rounding and measurement errors on SLR 165 calculations, we only used observations from periods with snowfall > 5.08 cm and SWE > 0.28166 cm. These thresholds are consistent with prior studies (Judson and Doesken 2000; Roebber et al. 167 2003; Alcott and Steenburgh 2010; Pletcher et al. 2024). We omitted observations (186 total) with 168 SLR=10.0, as there were some cases in which the observer likely used 10.0 as a placeholder due 169 to a missing depth or SWE observation. We tried omitting observations with SLR=20.0, as they 170 appeared much more frequently than observations with SLR=19.0 or SLR=21.0, but omitting them 171 did not significantly affect our results, so we chose to keep them. Careful investigation suggested 172 that the increased frequency of SLR=20.0 reflects a tendency for observers, when measuring 173 snowfall amounts  $\leq 4$  in (10.2 cm; the raw observations are taken in inches at most sites), to round 174 the SWE amount to either 0.15 in (.38 cm) or 0.2 in (0.51 cm). Observations with SLR  $\leq 2$  and 175 SLR>50 were also omitted, as values in these ranges are more prone to rounding and/or 176 measurement error.



179 Figure 1. Topography (m MSL following scale at bottom) of the Western US, with the locations of each of the

180 14 observing sites used in this study, and their elevations indicated.

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Site	Data Available	Elevation (m)	Approx. Observation Frequency	N Observations	
BBL	2018–2024	2249	24 h	177	
BCC	2018–2024	2224	10 h and 14 h	234	
CLN	2018–2024	2945	12 h	444	
СОМ	2018–2024	2890	24 h	170	
CSSL	2021–2024	2098	8 h and 16 h	170	

GTH	2018–2020,	2682	24 h	155
	2022–2024			
HLY	2019–2024	1619	24 h	48
JHBA	2018–2024	2012	24 h	108
JHMM	2018–2024	2499	24 h	232
MAM	2018–2024	2750	6–18 or 24 h*	177
PVC	2018–2024	2118	11 h and 13 h	144
SNQ	2018–2024	914	24 h	271
STV	2020–2024	1219	24 h	176
TRD	2018–2024	3612	24 h	194

182 Table 1. For each of the 14 sites in this study, the name, period that data is available, elevation, approximate

observation frequency, and number of observations used. \*MAM observations are most often ~24 h, but during
 intense storms, 2 observations per day are taken, with intervals ranging from ~6–18 h.

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The period of record varies widely among the sites, but we limit the data to the study period 2 October 2018 – 30 April 2024 for all sites. The beginning date was selected because it marks the beginning of HRRR data availability beyond forecast hour 18, which is required for our analysis. Therefore, data for all sites comes from the full study period, except for CSSL, GTH, HLY, and STV, which had some missing seasons in the study period (Table 1). Within the study period, we only consider the heart of the cool season, which we define as the months of November–April. This leaves 2700 total observations that are used in this study.

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# 194 b. Atmospheric Variables

We build and evaluate one algorithm that uses atmospheric variables from the ERA5 reanalysis (Hersbach et al. 2020), another algorithm that uses the High-Resolution Rapid Refresh model (HRRR; Benjamin et al. 2016), and another algorithm that uses the Global Forecast System model (NOAA EMC, 2024). The selection of atmospheric variables to consider in our algorithm was influenced by prior studies (e.g., Roebber et al. 2003; Alcott and Steenburgh 2010), the physics of ice crystal growth and metamorphism, and the available variables from the datasets used. These include:

- (1) Temperature (T), specific humidity (Q), relative humidity (RH), and wind speed (SPD)
  linearly-interpolated from pressure coordinates to height AGL in increments of 400 m,
  spanning the surface to 4800 m AGL. The use of AGL coordinates makes the algorithm
  applicable at all elevations and grid points (pressure levels like 850 hPa are underground
  at many high elevation grid points, and 700 hPa may be near the surface at high elevations
  but 3 km above the flatlands and valleys). "T04" is used to denote T at 400 m AGL, "Q12"
  for Q at 1200 m AGL, "SPD24" for SPD at 2400 m AGL, and so on.
- (2) Cloud-top temperature (CTT). The cloud top was defined as either (A) the location of the
   maximum RH lapse rate, or (B) the first location, moving upward from the 2<sup>nd</sup> pressure
   level above ground, where first RH drops below 80% for 2 consecutive levels.
- (3) Solar altitude angle (Solar) during the observation period. We considered the mean and
  maximum, with the maximum having the strongest effect on SLR. Hereafter, we use the
  variable name "Solar" to denote the maximum solar altitude angle during the observing
  period.
- 216 (4) SWE amount both the model-forecasted (hereafter QPF) and observed amounts.
- 217 (5) Temperature lapse rate -1-2 km, 2-3 km, 3-4 km, 1-3 km, and 3-5 km AGL.
- 218 (6) Convective Available Potential Energy (CAPE) as calculated and output by the
   219 modelling/reanalysis system

The process for attributing the atmospheric variable to the corresponding period of the SLR observation was as follows. To attribute the ERA5 reanalysis data to a 12-hour SLR observation, the mean of the ERA5 data within that 12-hour observation period is used. For a 24-hour observation, the mean of the ERA5 data within that 24-hour observation period is used, and so on for 8-hour observations, 16-hour observations, etc.

For the GFS and HRRR, which are forecasts, the process was slightly different. We began with the assumption that any forecast hour before Forecast Hour 10 (Fhr10) was of limited utility in a real-world setting, as it provides very little lead time for consumers of the forecast to make plans or decisions. We then tested two different time matching schemes:

- (1) Only use data from the model initialization closest to the observation period, for which theobservation period can fit entirely between Fhr10 and Fhr42.
- 231 (2) Assemble a timeseries of only Fhr12-Fhr24 from only 00Z and 12 model initializations,
- which creates one continuous timeline of atmospheric data.
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Both method 1 and method 2 performed similarly for SLR prediction, so we opted for method 2 because it was much easier to deal with for coding, data management, and understanding any issues when debugging was required. For all datasets, we experimented with the mean, maximum, and minimum value of the atmospheric variables during the observation period from the gridpoint nearest the observing site, and found the mean to be best for predicting SLR.

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## 239 c. SLR Algorithm

240 We create four different SLR algorithm versions in this study, referred to as V1-V4. For 241 V1 and V2, the atmospheric variables described above were fed into a multiple linear regression 242 (MLR) calculator from the scikit-learn Python package (Pedregosa et al. 2011), along with the 243 SLR observations from our 14 sites, to produce a predictive algorithm for SLR. For V3 and V4, a 244 random forest (RF; Breiman 2001) regressor from scikit-learn is used instead to produce the 245 predictive algorithm. To select the optimal hyperparameters for the RF, we began with the default 246 values, including: 100 trees, no constraint on the maximum depth of the tree, a minimum of 2 247 samples required to split a node, a minimum of 1 sample per node. We then experimented with a 248 broad range of values for these hyperparameters, and none of the other values achieved a better 249 predictive skill, so the default values are used in this study.

250 We chose the MLR technique because it is computationally inexpensive, easy to share, and 251 easy to implement in any coding language. We chose the RF because, for input consisting of >6252 atmospheric variables, the resulting algorithm exhibits greater skill than the corresponding MLR 253 algorithm in predicting SLR, can learn nonlinear relationships, and has been useful in other 254 meteorological tasks (e.g., Pletcher et al. 2024; Chase et al. 2023 and references therein). We also 255 experimented with other machine learning techniques, including Support Vector Regression 256 (SVR; Vapnik 1995), and a type of neural network known as a Multilayer Perceptron (MLP; 257 Gardner and Dorling 1998). We did not include the SVR in this work because it was an order of 258 magnitude slower than the RF, making it unsuitable for forecasting applications, and we did not 259 include the MLP because it was less skillful than the RF for our application.

All four algorithms (V1–V4) were built using a 60/40 train/test split, where 60% of the data (randomly selected) are used to train the algorithm, and the remaining 40% that have been withheld are used to test the performance of the algorithm. We evaluate the algorithm using the 40% of observations that have been withheld for testing, and the evaluation is done 1000 times 264 (i.e. k-fold cross validation with k=1000). This sampling procedure is done because the split into 265 the 60/40 train/test observations is random, and the resulting algorithm and its performance can 266 depend to some extent on which observations are dealt into testing or training. By doing 1000 267 permutations of the train/test split process, we can account for this variability when evaluating 268 algorithm performance. We consider the mean performance of the 1000 splits as a good estimate 269 of model skill. Because observations from the same site that are adjacent to each other in time (for 270 example the 00Z and 12Z observations from the same day) may occur under similar atmospheric 271 conditions, it is possible that including an observation in the testing dataset and its temporally 272 adjacent counterpart in the training dataset would artificially inflate the skill of the algorithm. We 273 tried omitting any observations from testing that had a temporally adjacent counterpart in training, 274 and it did not substantially affect our results, so we opt to permit temporally adjacent observations 275 to keep a larger sample size.

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## 277 *d. NDFD data*

We obtained SLR forecasts from the NWS's National Digital Forecast Database (NDFD) for all cool seasons (November through April) in the entire period of record, which yielded the cool season from November 2020 through April 2024. The NDFD is a gridded dataset consisting of the forecasts sent out by each NWS forecast office. To compute SLR from the NDFD, we divide the forecast hour 6-12 snowfall by the forecast hour 6-12 SWE accumulation, and then make a continuous timeseries of SLR from these 6-12 hour forecasts. The data for each site come from the nearest NDFD gridpoint to the site.

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## 286 **3. Results**

# 287 *a. Climatology of the 14 sites*

The distribution of SLR from each site over its period of record is shown in Fig. 2, with observations limited to those with snowfall > 5.08 cm, SWE > 0.28 cm, SLR >2.0, SLR<50, and SLR  $\neq$  10.0. The sites in the Sierra Nevada and Cascade mountains (CSSL, MAM, SNQ, and STV), with their maritime snow climates (Trujillo and Molotch 2014), exhibit the lowest mean SLRs and narrowest SLR distributions (Fig. 2e,j,l,m). Although their SLR distributions are heavily skewed toward the lower values, they do still occasionally see events with SLR>20. Farther inland,

294 COM and TRD have greater mean and median SLRs, and tails extending more to the right (Fig. 295 2d,n). Moving farther north and/or farther inland to BBL, BCC, CLN, GTH, JHBA, JHMM, and 296 PVC, where colder storms are more common, the distributions and tails move even farther to the right, with SLRs >20 being quite common (Fig 2 a,b,c,f,h,i,k). However, even at these cold 297 298 continental locations, there are still a significant number of dense snow events with SLR <6, 299 highlighting the broad variability from storm to storm at these locations. The effects of the 300 interaction between synoptic climatology and terrain orientation can also be seen when comparing 301 2 sites in the Wasatch Range of Utah (BCC and PVC). BCC is <26 km from PVC, and both sites 302 are at nearly the same elevation, yet PVC has a much lower median and mean SLR than BCC and 303 CLN. The primary difference is that PVC receives a much greater fraction of its cool season SWE 304 from southwesterly flow events, which tend to be warmer and windier than other flow directions 305 (Steenburgh 2023). The effects of elevation on snow climate are also apparent when comparing 306 SNQ and STV, which are <45 km from one another, yet STV has significantly higher mean and 307 median SLRs. STV is 300 m higher in elevation, which appears to have a strong impact on SLRs in the relatively warm maritime climate of the Cascades. 308



310 Figure 2. Distribution of observed SLR for the full period of record at each of the 14 sites used in this study. 311 Note that at each site, observations with SLR=10.0, SLR $\leq$ 2.0, SLR $\geq$ 50, SWE $\leq$ 2.8 mm, and snowfall  $\leq$ 50.8 312 mm have been removed.

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#### 314 b. VI Algorithm

315 We began by creating a simple version of the algorithm (V1) that yielded the best possible 316 skill using only temperature and wind speed to train an MLR. It only requires 4 variables (T04, SPD04, T24, and SPD24), with the addition of extra levels yielding negligible additional skill. We 317 evaluate skill using  $R^2$  and mean absolute error (MAE), with R being defined here as the Pearson 318 319 correlation coefficient (Wilks 2019).

For our V1 algorithm, using data from ERA5, the mean R<sup>2</sup> value for predicted SLR relative 320 321 to observed SLR is 0.31, and the mean MAE value is 3.27, with the standard deviation ( $\sigma$ ) of the 322 MAE at 0.07. Figure 3a shows a run of the algorithm that produced  $R^2$  and MAE values equal to 323 the mean of the 1000 train/test iterations. The predicted SLR most closely matches observed SLR 324 for low and moderate SLR values, but for events when observed SLR is >20, the spread increases 325 (Fig. 3a). a) ERA5 c) HRRR 16 b) GFS



327 Figure 3. Observed SLR vs SLR predicted by the V1 algorithm, evaluated against the 40% of observations that 328 are withheld from training to be used for testing, using (a) ERA5 reanalysis, (b) GFS, and (c) HRRR as the 329 source of atmospheric input variables, shown here for one of the 1000 permutations for which R<sup>2</sup> and MAE were 330 equal to the mean  $R^2$  and mean MAE of the 1000 permutations. 331

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332 When the V1 algorithm is trained and tested the same way, but instead using GFS (Fig. 3b) and HRRR (Fig. 3c) data, the performance is nearly identical. The mean R<sup>2</sup> and MAE using GFS 333 data are 0.32 and 3.27, respectively. The mean  $R^2$  and MAE using HRRR data are 0.32 and 3.25, 334 respectively. The similar performance between the ERA5, GFS, and HRRR suggests the 335 336 differences in their depictions of temperature and wind speed values are not large enough to appreciably affect the skill of SLR prediction for the V1 algorithm.

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#### 339 c. Legacy SLR methods

We also computed SLR with 5 commonly used methods for comparison: (1) MaxTaloft, (2) Cobb, (3) Roebber, [all used operationally in NBM (Craven et al. 2020) v4.2], (4) the Kuchera method (described above), and (5) a fixed SLR. A sixth source of SLR, the forecast from the NDFD, is included, as it reflects the final SLR that goes out to users of NWS forecasts.

344 When the Cobb method is applied to the HRRR data for each of the cases in our 2018-2024 study period, and compared to the high-quality manual observations from our dataset,  $R^2=0.04$  and 345 346 MAE=4.29 (Fig. 4a). The SLR values predicted by Cobb are mainly clustered in the 5-15 range, 347 with large prediction errors and very little correlation with reality. It is also biased a bit low, 348 tending to underpredict SLR compared observed. Cobb's poor performance at these sites may be 349 a result of the different distribution of vertical velocities, both in reality and in the HRRR, over 350 complex terrain compared to flatter terrain. There may also be a different relationship between the 351 location of hydrometeor growth and where the resulting hydrometeors reach the ground, over 352 complex terrain compared to flatter terrain (see discussion of these difference near the end of 353 Section 1). In other words, an algorithm that relies on vertical velocity locations and values 354 observed over flat land may not perform well over complex terrain.



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Figure 4. Observed SLR vs SLR from common sources of SLR prediction: (a) Cobb, (b) MaxTaloft, (c) Roebber,
(d) Kuchera, (e) fixed 12.0 SLR, and (f) the NWS NDFD. No random test/training split needed for these datasets,
so the total number of available observations are used.

360 When the MaxTaloft method is applied to the HRRR data for each of the cases in our 2018-361 2024 study period, and compared to the manual observations from our dataset, the  $R^2$ =0.17 and 362 MAE=6.51 (Fig 4b). MaxTaloft appears to greatly overpredict SLR (a high bias), with an even 363 larger MAE than Cobb, and it rarely predicts SLR<10.0. The abrupt cutoff at ~22 reflects the 364 boundary of MaxTaloft's polynomial formula.

When the Roebber method is applied to the HRRR data for each of the cases in our 2018-2024 study period, and compared to the manual observations from our dataset, the  $R^2$ =0.23 and MAE=9.45 (Fig 4c). The predictions are biased quite high, with large prediction errors. The cluster of predictions at 25 reflects the fact that the NBM code caps the Roebber SLR prediction, with any prediction >25 set to 25.

When the Kuchera algorithm is applied to the HRRR data for each of the cases in our 2018-2024 study period, and compared to the observations from our dataset, the R<sup>2</sup>=0.23 and MAE=4.84 (Fig 4d). The predicted SLR does exhibit some vague correlation with observed SLR, but the prediction errors are quite large and biased high. Using a fixed SLR of 12.0 yields  $R^2$ =0.0 and MAE=4.01 (Fig. 4e). We experimented with fixed SLR values from 10.0 to 13.0, in increments of 0.1, and 12.0 yielded the best performance in our dataset. This differs of course from the 10.0 that is commonly used for a fixed SLR, likely due to the fact that many of the sites in our dataset have mean and median SLRs around 12, 13, or even 14 (Fig 2).

The final SLR that we evaluate is the forecast SLR from the NDFD. When compared to our observations, the  $R^2$ =0.18 and MAE=3.82 (Fig 6f). This is the lowest MAE and best performance of the 6 legacy SLR techniques. The NDFD is a gridded aggregate of the forecasts issued by each NWS office, so the methods used to produce the final SLR values varies with time and by office, but the NDFD SLR outperforms all of the NBM SLR techniques. It does not, however, outperform the V1 algorithm.

385

386 *d.* V2 and V3 Algorithms

387 To build the V2 algorithm, we began with the variables described in Section 2b and used recursive elimination, stepwise screening regression, and lasso regression (Wilks 2019) to identify 388 389 the optimal set of input variables from the HRRR, selecting for the lowest MAE and highest R<sup>2</sup> 390 value relative to observed SLR. The combination of variables that added skill to the algorithm 391 includes: T04, SPD04, SPD24, RH04, RH24, Q04, Q24, model QPF, CAPE, and Solar. When 392 these variables are input into an MLR, the performance of the V2 algorithm improves relative to 393 V1, with  $R^2=0.39$  and MAE=3.05 (Fig. 5a). This includes some improvement in predicting SLRs 394 >20, although these events remain a challenge. In practical terms, the algorithm does not have the 395 ability to discriminate between the conditions associated with an observed SLR of 15 and those 396 associated with an SLR of 25.



398

Figure 5. Observed SLR vs SLR predicted by (a) the V2 and (b) V3 algorithms, evaluated against the 40% of
 observations that are withheld from training to be used for testing, shown here for one of the 1000 permutations
 for which R<sup>2</sup> and MAE were equal to the mean R<sup>2</sup> and mean MAE of the 1000 permutations.

The V3 algorithm uses a RF regressor instead of MLR to increase skill. This V3 of the algorithm, when compared to observed SLR, yields  $R^2=0.43$  and MAE=2.94 (Fig 5b). The increased skill of V3 relative to V2, given the same set of input variables, is a result of the RF's ability to detect and replicate nonlinear relationships between the input variables and SLR. The relationships predicted by the MLR are, by definition, linear. Even though V3 is more skillful than V2 on the whole, there is little or no improvement in anticipating the high SLR events (Fig 5b).

410

# 411 *e. Importance of each variable*

412 The logical next step in this study is to explore the importance of each variable to the fit of 413 the algorithm. For V2, which is an MLR, the algorithm must be re-run using the standardized 414 anomaly of each variable, rather than its actual value. This does not change the fit or skill of the 415 algorithm; it simply makes the coefficient of each term in the regression equation represent the 416 relative contribution of its corresponding variable to the fit of the equation. The train/test split and 417 the MLR fit are performed 1000 times and the coefficients recorded for each of these 1000 418 permutations. This process determines that Q04 (400 m AGL specific humidity) to have the 419 greatest contribution to the MLR equation with a median coefficient of -2.3 (Fig 6a). A negative

420 coefficient indicates SLR decreases with increasing Q04. The next most important variable is 400

421 m AGL wind speed (SPD04), with a median coefficient of -0.8, followed by RH24 with a median
422 coefficient of 0.7, and HRRR QPF with a median coefficient of 0.65 (Fig 6a).

423



Figure 6. (a) For the V2 algorithm, with all atmospheric input variables from the HRRR converted to standardized anomalies, the coefficients for each term in the MLR equation. The magnitude of a coefficient is proportional to its importance in predicting SLR in the equation. The box-and-whisker plots represent the 1000 different values of the coefficient for the 1000 permutations. (b) For the V3 algorithm, the importance of each variable in the Random Forest regression. The box-and-whisker plots represent the different values of the coefficient for the 1000 permutations. The differences between boxes are statistically significant at the 95% confidence interval if the notches area around the medians do not overlap.

432

433 The importance of each variable in the RF version of algorithm V3, as again determined 434 by 1000 permutations, looks somewhat different than for the MLR. The scoring of relative 435 importance is also determined differently for the RF, with the nonlinear fits for each variable making it impractical to assign a single sign to the contribution of the variable. So feature 436 437 importance for the RF is determined by the contribution to model fit when the values in a column 438 are randomly reshuffled (Breiman 2001). As in the MLR algorithm, Q04 is again the greatest 439 contributor to the fit by a wide margin, with a median relative importance of 0.24 (Fig 6b). Then in a distant 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> place are T04, SPD04, and HRRR OPF, respectively. The main contrast 440 with the MLR relative importances is that T04 has a much more prominent role (2<sup>nd</sup> most important 441 442 variable) in the RF than it does in the MLR. This suggests that when temperature is permitted to 443 have a nonlinear fit, it more accurately predicts SLR.

## 445 f. Relationships amongst variables

446 For the most important atmospheric variables identified in the previous section, the key 447 relationships amongst them and with observed SLR are explored next. The observed SLR exhibits 448 an increasing trend with decreasing T04 up until values of around -15 C, when the relationship 449 changes sign and colder values actually tend to yield increasing SLR (Fig 7a). Something 450 resembling this can be seen in a number of prior studies (Byun et al. 2008; Alcott and Steenburgh 451 2010). It suggests that when T04 is colder than about -15 C, the temperature in the zone where 452 most crystals are growing (likely at or above the 400 m AGL level where T04 is defined) is cold 453 enough that dendritic crystals are no longer favored, and crystal habits that pack more densely, 454 like plates and columns, are beginning to be favored. See Nakaya (1954) and Bailey and Hallett 455 (2009) for more information on favored crystal habit as a function of temperature. 456



458 Figure 7. For all observations, (a) observed SLR vs T04, (b) observed SLR vs SPD04, (c) observed SLR vs Q04,
459 (d) T04 vs Q04, (e) observed SLR vs QPF, and (f) observed SLR vs observed SWE.
460

462 The relationship between SPD04 and observed SLR is weaker, with a decreasing SLR trend 463 with increasing SPD04 (Fig. 7a). This is due to the tendency for increasing wind speeds to fracture 464 ice crystals, with the resulting fragments likely packing more densely than intact crystals (e.g., 465 Steenburgh 2023). The relationship appears most useful for forecasting when values of SPD04 are 466  $\geq$  20 ms<sup>-1</sup>. Under these conditions, only one instance of SLR >20 occurs in our dataset.

467 The relationship between Q04 and observed SLR is stronger than that of T04 and observed 468 SLR (Fig. 7c). This is a bit surprising, as T04 and Q04 are very closely related (Fig 7d), with the 469 Clausius-Clapeyron equation governing their relationship when the air is at saturation (Wallace 470 and Hobbs 2006). The relationship between Q04 and observed SLR is also roughly the same shape 471 (though the opposite sign) as that of T04 and observed SLR, with a trend reversal around Q04  $\cong$ 1.5 g kg<sup>-1</sup>, below which observed SLR decreases. Yet an important factor appears to be that the 472 473 trend reversal is not nearly as pronounced for Q04 as it is for T04. For moderate and high values 474 of Q04, there is also much less variability in SLR for a given value of Q04 than there is for a 475 moderate or high value of T04 (cf. 7a, 7c).

476 As for potential physical explanations of the Q04-SLR relationship, it is well established 477 that at saturation in subfreezing clouds, increasing temperature (and therefore specific humidity) 478 generally leads to increasing supercooled liquid water content (SLWC; e.g., Gultepe and Isaac 479 1997). Increasing SLWC, in turn, leads to increased riming (Waitz et al. 2022). Yet this would 480 suggest temperature is just as important, at least near saturation, as specific humidity. This result 481 is also at odds with previous studies, which conclude that temperature is more important. Therefore 482 future work is needed to examine these physical processes, in particular the differences in snow 483 growth over lowland regions compared to mountain regions.

484 HRRR QPF is negatively correlated with observed SLR (Fig 7e). This is likely due to the 485 fact that an increasing amount of SWE on the interval board leads to increasing compaction of the 486 entire column under its own weight. Supporting this assertion, the relationship between observed 487 SWE and observed SLR is much stronger (Fig 7f). This means that for modelling systems with 488 QPF forecasts that tend to be closer to reality, more skillful SLR prediction is possible. It also 489 means that for non-forecasting applications, where observed SWE is available as a variable for 490 SLR prediction, even greater forecasting skill than that of the V3 algorithm is possible.

## 492 g. V4 Algorithm

The V4 algorithm is identical to the V3 algorithm, except that it uses observed SWE instead of HRRR QPF. As mentioned above, this is only useful for applications that are run after a snowfall event is over, like snowfall analyses, in which observed SWE for the event is available.

496 The V4 algorithm, when compared to observed SLR, predicts SLR with  $R^2=0.54$  and 497 MAE=2.64. (Fig 8a). When the feature importance is calculated for the algorithm, using 1000 permutations, observed SWE is the greatest contributor to the fit by a wide margin, with a median 498 relative importance of 0.28 (Fig 8b). Q04 is relegated to a distant 2<sup>nd</sup> place with a median 499 importance of 0.13, followed by T04 in 3<sup>rd</sup> place at 0.07. The most dramatic illustration of V4's 500 501 increased skill is how well it handles high SLR (>20) events (Fig 8a). It is clear that a major factor 502 contributing to the difficulty of V1–V3 in forecasting high SLR events is that QPF forecasts have 503 large errors. If SWE could be forecasted perfectly, high SLR events would not be nearly as 504 challenging to predict. SWE amount is the single most important variable in predicting SLR 505 accurately.





Figure 8. (a) Observed SLR vs SLR predicted by the V4 algorithm, evaluated against the 40% of observations that are withheld from training to be used for testing, using HRRR as the source of atmospheric input variables, shown here for one of the 1000 permutations for which  $R^2$  and MAE were equal to the mean  $R^2$  and mean MAE of the 1000 permutations. (b) For the V4 algorithm, the importance of each variable in the Random Forest regression. The box-and-whisker plots represent the different values of the coefficient for the 1000 permutations. The differences between boxes are statistically significant at the 95% confidence interval if the notches area around the medians do not overlap.



applied to observed SWE. If it is applied to model QPF, it performs poorly (not shown). This is
because model QPF often deviates substantially from, and is biased compared to, observed SWE.
For model QPF to add skill, the algorithm must be trained on model QPF (this is what V2 and V3)

519 520 are).

### 521 **4. Summary and Conclusions**

522 This study utilizes a novel dataset of manually-collected snowfall and SWE measurements 523 taken every  $\sim$ 24-h or less from an interval board placed atop the snowpack by snow safety workers 524 and scientists at 14 sites across the western US. We then use these observations and atmospheric 525 variables from either the ERA5, the GFS, or the HRRR to train a MLR or RF algorithm to predict 526 SLR. A simple model utilizing only temperature and wind speed at 2 levels as the predictive 527 variables (known as V1) performs well when compared to observed SLR events that were withheld 528 from training the algorithm, with  $R^2=0.32$  and MAE=3.27 when using the GFS forecasts. When 529 the training source was instead ERA5 reanalysis or HRRR forecasts, the R<sup>2</sup> and MAE values were 530 within 1% of the above values.

These  $R^2$  and MAE values from the simple V1 algorithm substantially outperform the 3 SLR algorithms (Cobb, MaxTaloft, and Roebber) that are used operationally in the NBM version 4.2. The respective  $R^2$  values for those algorithms, when compared to observed SLR values, are 0.04, 0.17, and 0.23, with respective MAE values of 4.29, 6.51, and 9.45. The V1 algorithm also substantially outperforms a commonly used but unpublished algorithm known as the Kuchera method. When SLR predicted by Kuchera is compared to observed SLR,  $R^2$ =0.23 and MAE=4.84.

537 There are several potential explanations for the poor performance of the 4 legacy SLR 538 algorithms relative to observations. The first is that these 4 algorithms were trained partly or 539 entirely on SLR observations for which the liquid equivalent came from a precipitation gauge, and 540 precipitation gauges, even with an Alter shield, can underatch falling snow by 40% or more 541 (MacDonald and Pomeroy 2007; Thériault et al. 2012). Such undercatch would strongly affect the 542 resulting SLR values. The observations used in our dataset are not immune to the effects of high 543 winds, but using a weighed core from an interval board is substantially less error-prone than a 544 precipitation gauge. Another issue is that algorithms like Cobb and MaxTAloft are mostly trained 545 with variables from NWP over relatively flat terrain. In the complex terrain of the western US, 546 steep slopes can dramatically increase or decrease vertical velocity, for example. Complex terrain

may also cause most crystal growth and riming to happen at different heights above ground level
than they do over flat terrain. Furthermore, the synoptic climatology of the sites used in this study
may differ from that of the cyclone-dominated central and eastern US.

The V1 algorithm also substantially outperforms two other sources of SLR forecasts. If a fixed 12.0 SLR is used (12.0 yielded the best performance for our dataset) and compared to observed SLR,  $R^2=0.0$  and MAE=4.01. The SLR forecasts from the NDFD are obtained for the 4 cool seasons that they are available from the archive (2020–2024) and compared to observed SLR.  $R^2=0.18$  and MAE=3.82. The NDFD is a gridded aggregate of the forecasts issued by each NWS office.

556 We trained the V2 and V3 algorithms on the optimal combination of atmospheric variables 557 from the HRRR to achieve the maximum possible skill, as defined by R<sup>2</sup> and MAE. The optimal 558 combination of variables was T04, SPD04, SPD24, RH04, RH24, Q04, Q24, model QPF, CAPE, 559 and Solar. The V2 algorithm is a MLR trained with these variables, and when its forecasted SLR is compared to observed SLR from a set of data points withheld for testing, the resulting R<sup>2</sup> and 560 561 MAE are 0.39 and 3.05, respectively. The V3 algorithm is a RF model trained with the same 562 variables. When V3 algorithm, which contains complex nonlinear relationships to predictive variables, is used to build an algorithm, the resulting  $R^2$  and MAE are 0.43 and 2.94, respectively. 563 564 For both the V2 and V3 versions of our algorithm, the most important variable is Q04 by a wide margin. In a distant 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> place for importance in the V2 algorithm are SPD04, RH24, 565 and model QPF, respectively. In a distant 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> place for importance in the V3 algorithm 566 567 are T04, SPD04, and model QPF, respectively. High SLR (>20) events are the most challenging 568 for the algorithms, though these events account for 7% of the cases in the dataset.

569 The V4 algorithm is identical to V3, except that it uses observed SWE instead of HRRR 570 QPF. This means it is only useful for applications that are run after a snowfall event is over, like 571 snowfall analyses, in which observed SWE for the event is available. When forecasted SLR from 572 V4 is compared to observed SLR from a set of data points withheld for testing, the resulting 573  $R^2=0.54$  and MAE=2.64. The use of observed SWE as a predictor, instead of QPF, is responsible 574 for the dramatic improvement in algorithm performance. The high SLR (>20) events do not pose 575 nearly the forecasting challenge that they do for the V1, V2, and V3 algorithms. SWE becomes 576 the most important variable by a wide margin, followed by Q04, T04, and SPD04 in a distant 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> place, respectively. This shows that much of the difficulty in forecasting high SLR 577

events is due to the uncertainty in QPF amounts. If QPF were a perfect forecast of SWE, high SLR
events would not be nearly as difficult to predict.

580 In summary, the V1, V2, and V3 algorithms represent a large increase in skill for SLR 581 prediction compared to the current algorithms and techniques used by forecasters, and the V4 582 algorithm is quite skillful for non-forecasting applications. But these algorithms were only trained 583 to mountain sites with colder temperatures, located closer to cloud base, and different synoptic and 584 mesoscale weather conditions than much of the lowlands and flatlands of the US. Therefore, future 585 work will include developing an algorithm trained on high-quality manual snowfall observations 586 from the entire US. A final important caveat is that because most of the legacy SLR algorithms are 587 trained using precipitation gauge observations that often suffer from undercatch, these algorithms 588 may verify more favorably when evaluated with such gauge observations. Conversely, the 589 algorithms we've developed may not perform as well when these gauge observations are treated 590 as the "truth".

591

592

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603

604 Data availability: The HRRR data were obtained from Amazon Services Web 605 (https://registry.opendata.aws/noaa-hrrr-pds/). GFS data were obtained from the National Center 606 for Atmospheric Research's Research Data Archive (https://rda.ucar.edu/datasets/d084001/). The 607 ERA5 data obtained from the Copernicus Climate Change Service were 608 (https://cds.climate.copernicus.eu/datasets/reanalysis-era5-pressure-levels?tab=overview). The

609	NDFD data were obtained from Amazon Web Services (https://noaa-ndfd-		
610	pds.s3.amazonaws.com/index.html). The V1-V4 SLR algorithms are available on GitHib		
611	(https://github.com/pveals/Veals_etal_2025). The snowfall and SWE observations from CLN are		
612	available online from the University of Utah Research Data Repository		
613	(https://hive.utah.edu/concern/datasets/0r967383v). The CSSL and HLY observations are		
614	available on the GitHub (https://github.com/pveals/Veals_etal_2025), but due to their proprietary		
615	nature, the remainder of the snowfall and SWE observations are limited to access by verified		
616	researchers, and are available through the corresponding author.		
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